



Athena SWAN Bronze institution award application (Ireland)

Name of institution: University College Dublin

Date of application: 30th November 2016

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HR EXCELLENCE IN RESEARCH

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Chapter 1 Letter of endorsement from the President



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BE, MEngSc, PhD, FIEAust

22 November 2016

Dr. Ruth Gilligan,
Athena SWAN Manager,
Equality Challenge Unit,
First Floor, Westminster Tower,
3 Albert Embankment,
London SE1 7SP

Dear Dr. Gilligan,

I am delighted to endorse University College Dublin's application for the Athena SWAN Bronze Award and to take this opportunity to reiterate my own commitment to advancing gender equality.

Diversity is highlighted in the university's strategic plan as one of our core values, and one of our strategic objectives is the attraction and retention of an excellent and diverse cohort of students, faculty and staff. The Athena SWAN Gender Equality Action Plan presented in this submission will be a key part of delivering this objective.

I appointed Professor Orla Feely, Vice-President for Research, Innovation and Impact, as Athena SWAN sponsor for UCD because of her commitment to this agenda and her leadership experience within and outside the university. Professor Feely is Chair of the EU Advisory Group on Marie Skłodowska Curie Actions and a member of the Board of the Irish Higher Education Authority, and has served as Chair of the Irish Research Council and as a committee member of the national organisation Women in Technology and Science. These are all organisations that have led on gender equality. Professor Feely is a member of the University Management Team and reports directly to me.

Professor Feely and her team have led an extensive process of consultation, discussion and examination across UCD. I have been involved in a number of steps within the process, and have found it to be both thought-provoking and constructive.

It is clear that there is a gender gap in UCD from associate professor level upwards and in key leadership positions, most notably within the STEM schools. We are committed to addressing this and have already put in place a number of actions to help narrow this gap, including:

- The establishment of an Equality, Diversity and Inclusion Group reporting to the University Management Team.
- The development of an Equality Impact Assessment Tool, which will enable and require all policy developers to ensure that UCD policies are inclusive and promote equality and diversity.
- The introduction of a social levy, to distribute the costs of leave.

- The appointment of a new HR Director leading to the development of a new HR strategy and faculty development processes integrating gender equality.

Further detailed steps are included in the Gender Action Plan contained in this submission, including

- The appointment of a Vice-President for Equality, Diversity and Inclusion.
- Gender balance targets for committee membership
- Broad roll-out of unconscious bias training
- Introduction of core meeting hours
- Gender targets for our new promotions process, based on the cascade model.

Since my appointment as President of UCD at the start of 2014, I have seen and supported clear changes in the culture of the university in relation to gender equality and diversity. I am confident that with a strong and committed team and our gender equality action plan we will continue to build on the good progress made to date and ensure over the next three years that UCD delivers on its commitment to be a model for Gender Equality among the Irish universities.

I commend to you University College Dublin's application for the Athena SWAN Bronze Award.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'A Deeks', written over a faint circular stamp or watermark.

Professor Andrew Deeks
President

WORD COUNT: 528

Chapter 2 The self-assessment process

2.1 Self-Assessment Team

Diversity, gender equality and the Athena SWAN award are institutional priorities for UCD. A member of the University Management Team (UMT), the Vice-President for Research, Innovation and Impact, sponsors the Athena SWAN project. The UMT also established in 2015 the Equality, Diversity and Inclusion Group (EDIG) to drive and oversee the university's activities across this broader field. As the first action under the Gender Equality Action Plan (GEAP), a Vice-President for Equality, Diversity and Inclusion will be appointed, who will chair the EDIG and report annually to the Governing Authority.

The Athena SWAN Self-Assessment Team (SAT) was established in December 2014, with additional membership added in September 2015. It comprises a Steering Group (SG) and four working groups (WGs). The SAT reports to the UMT, a reporting line established prior to the formation of the EDIG, and also has a dotted line to the EDIG.

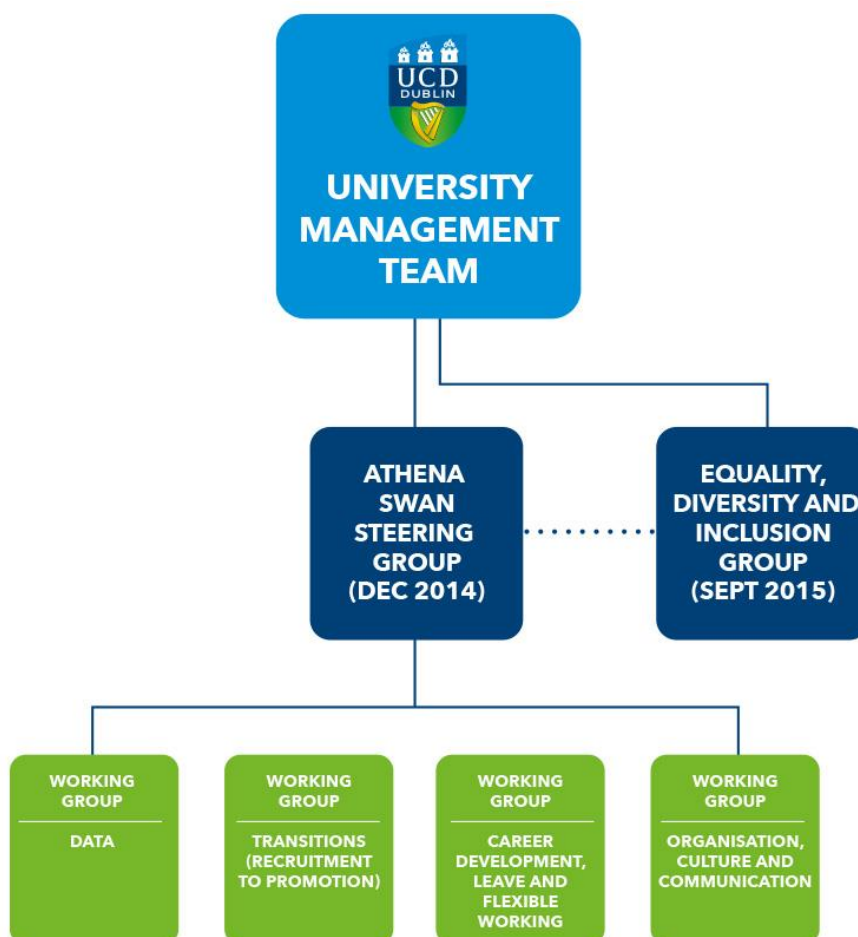










Figure 2.1: Governance Structure

The 2015 membership adjustment responded to the review of the original UCD Athena SWAN submission, adding representation from researchers and enhancing links between SG and WGs. Other members were also added at this time, mindful of the longer-term role for the SAT beyond assessment, and bringing expertise from domains such as data systems and internal communication.

Principles underpinning SAT composition included:

- Diversity (including gender balance)
- Participation from early career to senior leadership
- Disciplinary representation: STEMM (Science, Technology, Engineering, Mathematics and Medicine) and AHSS (Arts, Humanities and Social Sciences)
- Subject expertise (including equality and organisational change)
- Faculty and staff representation
- Links to other university committees
- Appropriate team size

SAT membership is as follows:

Chair	
	<p>Professor Orla Feely Vice President for Research, Innovation and Impact. Full Professor of Electronic Engineering. Member of UMT. Elected member of Governing Authority</p>
Steering Group Members (December 2014 to date)	
	<p>Professor Dolores Cahill Full Professor in School of Medicine. Chaired Irish Government’s Advisory Science Council Taskforce ‘Towards a Framework for Researcher Careers’. SAT Working Group chair</p>
	<p>Rory Carey Director, Culture and Engagement, UCD HR. Manages equality and diversity function, as part of the culture and engagement portfolio. Strong advocate of establishment of UMT EDI Group.</p>
	<p>Professor Joe Carthy College Principal and Dean of Science. UCD Aurora Champion. Supported the establishment of the Women in the Sciences Group.</p>
	<p>Dr Sinead Critchley Director of University Governance. UCD PhD Science Graduate 2002. Oversees support to senior governance committees and embeds institutional policy frameworks.</p>
	<p>Professor Michael Gilchrist Full Professor of Mechanical Engineering and Head of the UCD School of Mechanical & Materials Engineering. Member, Faculty Promotions Committee</p>
	<p>Professor Catherine Godson Chair, UCD Research Careers Committee. Director, UCD Diabetes Complications Research Centre</p>
	<p>Catherine Lynch Gender Project Manager. Chair, Ethnic Diversity and Inclusion Group. Former board member -European Women’s Lobby; National Women’s Council of Ireland</p>



Dr Jennifer Mitchell

Chair, Women in Science. Chair, SAT Working Group. Associate Dean for Study Abroad, College of Science. Lecturer in Microbiology



Professor Colin Scott

Full Professor of EU Regulation and Governance and Principal, UCD College of Social Sciences and Law. Chair of UMT Group on Equality, Diversity and Inclusion.



Professor Torres Sweeney

Professor of Animal Genomics at UCD. Over 200 peer reviewed publications and three patents. Has managed multiple EU Framework and national funding awards.



Dr Judy Walsh

Lecturer in the field of human rights and equality law and the Director of the UCD Equality Studies Centre

Steering Group Members (September 2015 to date)



Dr Lucy Cradden

Research Staff Association Representative. Postdoctoral Researcher, School of Earth Sciences/Energy Institute, UCD Research Staff Association Committee Member.



Dr Katie Gaynor

Research Staff Association representative (up to Jan 2016).



Dr Aoife Gowen

Associate Professor in School of Biosystems and Food Engineering. Research is multidisciplinary, involving applications of hyperspectral imaging and chemometrics to biological systems. SAT Working Group member. ERC award recipient.



Dr Naonori Kodate

Lecturer, Social Policy. Research interests include comparative health and social care policy, patient safety, and gender equality in science education. Working Group member.



Maura McGinn

Director of Institutional Research. Member of UMT Group on Equality, Diversity and Inclusion. Working Group member.



Mark Simpson

Senior Manager for Engagement and Internal Communications with a university wide responsibility.

Equality and Related Data

Of the 17 Steering Group members (11 female and 6 male):

- 8 have school-age children
- 3 have other caring responsibilities
- 13 are or have been in dual-career relationships
- 3 work or have worked part-time

- 5 have taken maternity/paternity leave
- 2 have taken parental leave
- 3 have conducted research in the area of equality and diversity
- 5 have been involved in organisations with a significant activity profile around equality and diversity
- 5 are/have been members of a promotions committee and
- 11 are/have been members of other university committees.

Table 2.1: Members of Steering Group

Working Group 1: Transitions – From Recruitment to Promotion		F/M
Lead: Prof. Dolores Cahill	Athena SWAN Steering Group member	F
Dr. Jessica Bramham	UCD School of Psychology Aurora programme participant	F
Dr. David Foster	UCD Career Development and Skills – Director	M
Prof. Michael Gilchrist	UCD School of Mechanical and Materials Engineering (HoS) SG member	M
Prof. Lorraine Hanlon	UCD School of Physics	F
Anne Gray	Promotions, Grading & Reward Manager, UCD HR	F
Elaine Hassett	Head of Resourcing, UCD HR	F
Working Group 2: Career Development, Leave and Flexible Working		
Lead: Dr. Jennifer Mitchell	Athena SWAN Steering Group member	F
Prof. Pdraig Dunne	UCD School of Physics (HoS). Sponsor, School of Physics Juno Award application	M
Assoc. Prof. Aoife Gowen	UCD School of Biosystems Engineering. Postdoctoral researcher 2007-2013; now Associate Professor. SG member	F
Naoimh O'Connor	UCD Careers Development and Skills	F
Niamh O'Donoghue	People & Organisation Development Manager, UCD HR	F
Justin Synott (to Sept 2015)	UCD Research. Formerly Human Resource Strategy for Researchers (HRS4R) Project Manager	M
Working Group 3: Communication, Organisation and Culture		
Lead: Prof. Colin Scott	Athena SWAN Steering Group member	M
Marcellina Fogarty (from Sept 2015)	Equality and Diversity Manager, UCD HR	F
Prof. Donncha Kavanagh	UCD School of Business	M
Fiona Keane (to Sept. 2015)	HR Partner (Previously Equality & Diversity Manager)	F
Dr. Naonori Kodate	UCD School of Social Policy, Social Work and Social Justice SG member	M
Dr. Marie Meehan	UCD School of Biosystems Engineering	F

Working Group 4: Data		
Lead: Catherine Lynch	Gender Project Manager	F
Susan Devereux	HRIS	F
Marcellina Fogarty	Equality and Diversity Manager (with responsibility for Diversity Monitoring Tool)	F
Maura Mc Ginn	Director of Institutional Research SG member	F
Paul O’Sullivan	HR Information Systems (HRIS)	M

Table 2.2: Members of Working Groups

2.2 Self-Assessment Process

The SAT prepared the original institutional application in 2015, initiated a number of steps in response to the review of that application, and has prepared this revised submission. For the current submission, the data was updated to September 2015 and (where feasible) March 2016.

The decision to target November 2016 for the revised submission was made in order to allow ample time to reflect on the issues raised in the first assessment, to align with the new HR strategy and related processes, and to ensure a strong action plan could be put in place.

Since December 2014 the Steering Group has met 14 times, and there have been 21 working group meetings. The Athena SWAN application was approved by the UMT on 22 November 2016.

A summary of key milestones is as follows:

Date	Milestone
Jun 14	Gender project manager appointed
	VPRII appointed project sponsor
Dec 14	SAT established
Jan 15	Aurora project commences
Feb 15	UCD President launches Athena SWAN in Ireland, for IUA
	Athena SWAN survey issued (faculty)
	Athena SWAN focus groups held
Mar 15	Academic Council action on 40% gender balance target
Apr 15	UMT establishes Equality, Diversity and Inclusion Group
	UMT leads on core hours for meetings
Apr 15	First institutional and school applications for Athena SWAN Award
	Seed funding scheme launched to support EDI research
	Unconscious bias internal trainer panel project commenced

Sep 15	Equality Challenge Unit visit with SAT
	SAT expanded
	First meeting of EDIG
Jan 16	UCD Gender Equality Seminar
Feb 16	UCD Alumni conference on Women in Leadership
Mar 16	International Women’s Day social event takes place
	All-staff communication re key actions from seminar
	Seminar report published online
Jun 16	Pride Flag raised at UCD for first time (policy amended to enable)
	Japanese Women in Leadership in Science and Society lecture
	UCD Festival includes Women in Leadership event
	HR Strategy and restructure announced - focus on culture and engagement, including equality, diversity and inclusion
July 16	Higher Education Authority (HEA) Gender Equality Audit Report launched
Sep 16	Social Levy introduced for a central fund to cover leave costs
	EDI reception, recognising the year’s work and contributions
Nov 16	Minister for Social Protection, Leo Varadkar TD and UCD President, Prof. Andrew Deeks, launch EDI website, annual report and EDI priorities
	UCD submits application for Athena SWAN Institutional Award

Table 2.3: Summary of milestones and events



Figure 2.2: Minister Leo Varadkar, TD, launches UCD’s first Equality, Diversity and Inclusion Annual Report and Priority Actions with UCD President, Professor Andrew Deeks.

As Table 2.4 indicates, the SAT initiated significant consultation across the university, through a survey, eight focus groups and a Gender Equality Seminar and World Café¹.

Consultation Mechanism	Target Audience	Purpose	Participants – (Number and gender ratio)
Survey (Feb 2015)	Academic and research staff across all disciplines	Quantitative and qualitative information around 6 key areas	<ul style="list-style-type: none"> • 324 participants • F:M 6:4 • Response rate: 26%
Focus groups (Feb 2015)	Academic and research staff across all disciplines	Build on data and insights collected during the survey. Encourage ideation around possible actions the university could take to improve gender equality.	<ul style="list-style-type: none"> • 8 focus groups • 56 participants • F:M 3:1
Gender Equality Seminar and Workshop – World Café ² (Jan 2016)	All faculty and staff	Raise awareness of gender equality and develop ownership of future actions in this area, across UCD. It provided a key opportunity to feed back to the wider UCD community on work to date and to engage with the community to achieve a better understanding of the issues across the university. Together participants considered how the community can best translate university-wide ambitions into institutional and local action for real and sustainable change.	<ul style="list-style-type: none"> • 200 participants approx. • F:M 7:3 • 19 table hosts + 4 room hosts (from across UCD) <p>Speakers inc. President; external academic (Prof. Jane Grimson); VP for Research, Innovation & Impact; UCD Gender Project Manager.</p>

Table 2.4: Overview of the consultation process



Figure 2.3: Gender Equality Seminar workshop

¹ Highly participative round table facilitated event.

² Report published online (intranet)

Key messages emerged from the Gender Equality Seminar and Workshop consultation, as summarised in Figure 2.4.



Figure 2.4: Key messages from Gender Equality Seminar and Workshop

The results of all consultations and of the review of the initial Athena SWAN submission fed directly into the GEAP. In particular, at the workshop a number of priority actions were identified (see point 8 in Figure 2.4) and the university committed to deliver on these. A number of these have already been initiated, as outlined throughout this application. Some of the actions that have been taken, such as the introduction of a flat social levy to distribute the costs of maternity and related leave across the university, have the potential to be transformational in their impact and are signs of strong commitment by the university.

External consultation also took place. UCD is represented on the National Athena SWAN Coordination Committee and the Irish Universities' Association (IUA) Equality Network. For both original and revised applications, UCD established mock assessment panels which included colleagues from UK and Irish universities. Equality Challenge Unit representatives were invited to meet with the SAT following the outcome of the first application.

A Mock Assessment Panel
reviewed UCD's draft application, mirroring the process used by the Equality Challenge Unit.

In July 2016 the Irish Higher Education Authority (HEA) published a Gender Equality Audit report. The report set out gender equality objectives and recommendations for a range of stakeholders including higher education institutions (HEIs). Twenty two recommendations were made in relation to HEI leadership; governance and management; and embedding gender equality in the organisational culture. While these are not binding, they are taken very seriously by the university. The draft UCD Gender Equality Action Plan (GEAP) was reviewed in September 2016 to better align it with the HEA objectives. This alignment is highlighted later in this document (Section 5).

In parallel with the Athena SWAN process, the University has also developed and launched a new HR Strategy, *Growing Through People* (June 2016), which has enhanced organisational readiness to implement actions.

2.3 Future Plans for the SAT

The SAT will continue as a steady-state group to oversee implementation of the GEAP, reporting annually to UMT. The Steering Group will meet six times a year, and working groups at least twice a year.

A number of elements will specifically support the translation of university-level commitment into local action, including:

- A detailed communication and engagement strategy.
- Representation of each college on the Athena SWAN SG.
- Improved access to gender-disaggregated data.
- At least nine schools to be supported to apply for the Athena SWAN Departmental Award within three years, and 100% of STEMM schools to apply by 2021 (with local SATs in place).
- Role of HR Partners, as part of the new HR Strategy, in helping to bring the organisation's values and strategy alive locally.

UCD's engagement with Athena SWAN has already delivered impact, evident in the level of participation in equality initiatives and implementation of specific actions³³. We now seek to sustain momentum, develop engagement at every level of the university and enhance impact.

Action:	
2.1	Appointment of Vice-President for Equality , Diversity and Inclusion
2.2	Vice-President for EDI to report annually to Governing Authority on gender equality in UCD
2.3	Expand SAT membership
2.4	Support nine schools to apply for Departmental Athena SWAN Awards in the next three years (and all STEMM schools by 2021).
2.5	Establish and implement communication and engagement strategy, including annual surveys to drive embedded cultural change
2.6	Change information systems to facilitate access to gender disaggregated data across the university, at the institutional, college and school level.

WORD COUNT: 912

³³ Examples of actions are highlighted throughout the document, in text boxes.

Chapter 3 A picture of the institution and its composition

3.1 Brief description of the institution

University College Dublin, founded in 1854, is Ireland's largest university. UCD is ranked within the top 1% of universities worldwide, with 11 subjects ranked in the top 100 for their discipline.

The UCD Strategy 2015-2020 states:

...we will promote gender balance and equality of opportunity among students and employees of the university. Furthermore, we will engage with leading edge national and international diversity programmes to ensure that we achieve the benefits of diversity throughout the university and at all levels of leadership.



Figure 3.1: UCD Belfield campus

3.1.1 Teaching and research focus

Excellence in teaching and in research are central to UCD. UCD offers a wide range of degree programmes, with strong support for incoming undergraduates. 50% of students take modules outside their principal subject areas.

The university's major research themes are:



Figure 3.2: Major research themes

Steps taken in the wake of the economic crisis of the last decade have had significant impact on Irish universities, with funding per student falling by 22% between 2007/2008 and 2013/2014, and limits on hiring and promotions.

3.1.2 Organisational Structure

The UCD President, Professor Andrew Deeks, took up office in January 2014. He chairs the University Management Team.

The Governing Authority guides the strategic direction of the university, with particular emphasis on overseeing policy, monitoring the performance of top management and working with the President to set UCD’s strategic aims.

Academically, UCD is organised into 6 colleges and 36 schools⁴, evenly divided between STEMM and AHSS (Figure 3.3). Each college is led by a College Principal (CP) and each school by a Head of School (HoS). (See Section 4.4.) The Gender Equality Action Plan (GEAP) makes it more explicit that VPs, CPs and HoS will be responsible for integrating gender equality within their domains, and will report on this to their managers (Action 3.1).

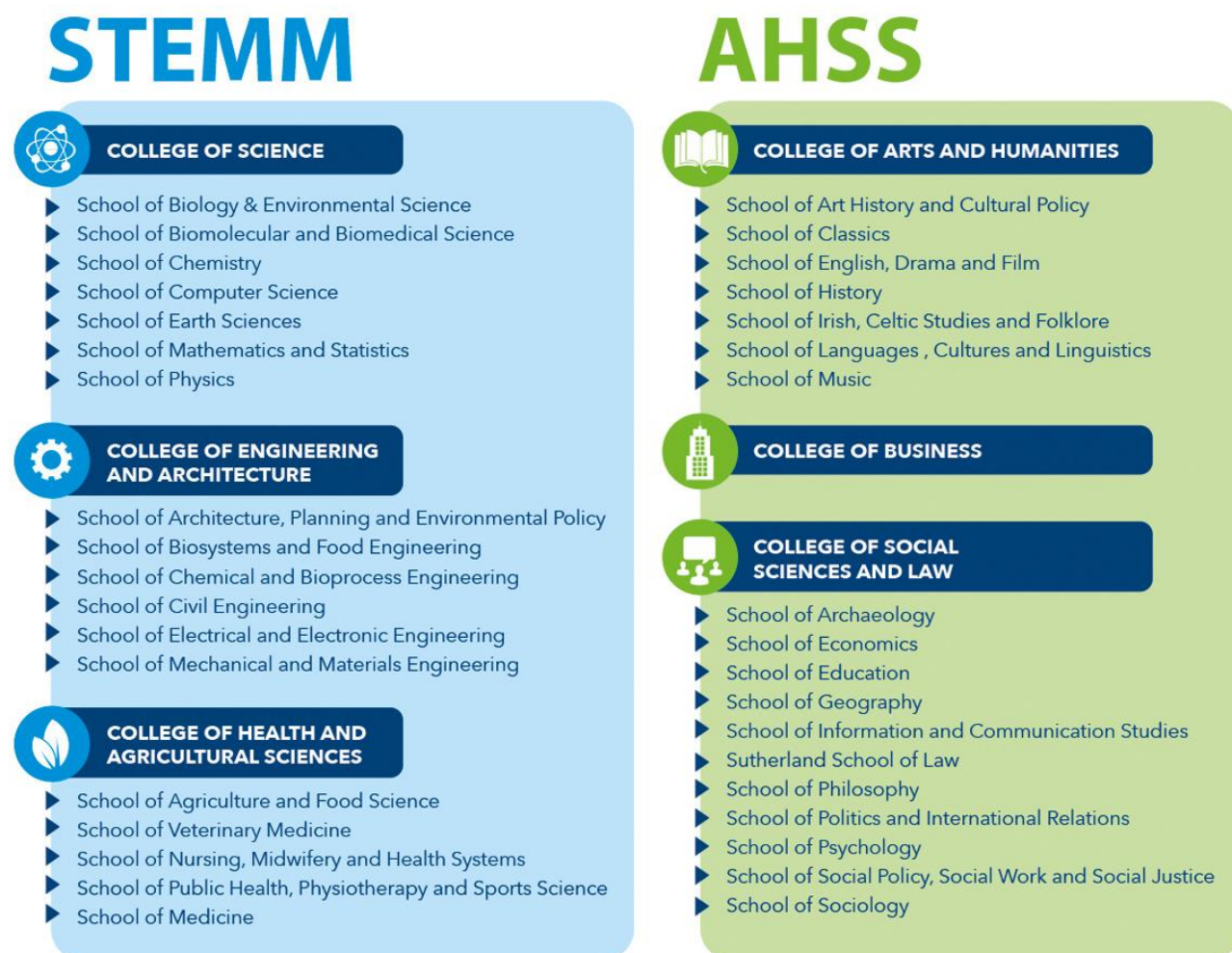


Figure 3.3: UCD colleges and schools

⁴ Each college has a number of administrative units associated with it, but these are not included in the “department” count. UCD also has 7 cross-cutting research institutes and an Innovation Academy, but essentially all faculty members in these units have their substantive employment within schools, and so these are not counted as “departments” for this assessment.

All units of the university, and the institution itself, undergo regular quality review, including internal self-assessment and review by a panel including international membership. As part of the GEAP, all quality reports will be required to comment on gender equality. (Action 3.2)

In 2016, following a referendum, UCD changed faculty titles, as follows:

Old title	New title (2016-)
Lecturer	Lecturer/Assistant Professor
Senior Lecturer	Associate Professor
Associate Professor	Professor
Professor	Full Professor

Table 3.1 UCD faculty titles

The new titles will be used throughout this document, even when referring to historical data.

Action	
3.1	VPs, CPs, HoS and HoU will be responsible for integrating gender equality in policies and actions within their domains, consistent with university policy; and will report on this to their respective managers
3.2	Incorporate gender equality dimension into UCD's quality assurance process at school and unit level and in the institutional quality assurance report

3.1.3 The number of students and staff

Sections 3.1.3 outline the number of staff and students. Section 3.2 examines staff data in greater detail, including the comparison with the national picture. There is a clear gender gap for faculty beyond the lecturer grade across the Irish university sector. While the picture in UCD is slightly better, the national benchmark is not an acceptable target. UCD seeks to secure gender balance across the university.

3.1.3i Students

In 2015/16 UCD had 27,869 students⁵, 14,174 of them in STEMM. (Table 3.2). The percentage of female students was 53.4%; 52.2% in STEMM. Over the period 2014-2016 there has been a slight increase in the female student percentage.

	Level	2013/14				2014/15				2015/16			
		Male	Female	Total	% Female	Male	Female	Total	% Female	Male	Female	Total	% Female
ALL UCD	Undergraduate	7,924	8,377	16,301	51.4	7,871	8,439	16,310	51.7	8,099	8,585	16,684	51.5
	PG Taught	2,958	3,032	5,990	50.6	2,913	3,132	6,045	51.8	3,019	3,395	6,414	52.9
	PG Research	895	897	1,792	50.1	896	908	1,804	50.3	858	930	1,788	52.0
	Occasional	795	1,476	2,271	65.0	877	1,715	2,592	66.2	1,002	1,981	2,983	66.4
UCD Total		12,572	13,782	26,354	52.3	12,557	14,194	26,751	53.1	12,978	14,891	27,869	53.4
STEMM	Undergraduate	4,177	4,312	8,489	50.8	4,178	4,305	8,483	50.7	4,354	4,423	8,777	50.4
	PG Taught	1,215	1,158	2,373	48.8	1,283	1,420	2,703	52.5	1,381	1,503	2,884	52.1

⁵ As reported to HEA; Census taken March 1st of each year

	PG Research	661	598	1,259	47.5	677	612	1,289	47.5	639	646	1,285	50.3
	Occasional	318	558	876	63.7	377	692	1,069	64.7	408	820	1,228	66.8
STEMM Total		6,371	6,626	12,997	51.0	6,515	7,029	13,544	51.9	6,782	7,392	14,174	52.2
AHSS	Undergraduate	3,747	4,065	7,812	52.0	3,693	4,134	7,827	52.8	3,745	4,162	7,907	52.6
	PG Taught	1,743	1,874	3,617	51.8	1,630	1,712	3,342	51.2	1,638	1,892	3,530	53.6
	PG Research	234	299	533	56.1	219	296	515	57.5	219	284	503	56.5
	Occasional	477	918	1,395	65.8	500	1,023	1,523	67.2	594	1,161	1,755	66.2
AHSS Total		6,201	7,156	13,357	53.6	6,042	7,165	13,207	54.3	6,196	7,499	13,695	54.8

Table 3.2 Students by level of study

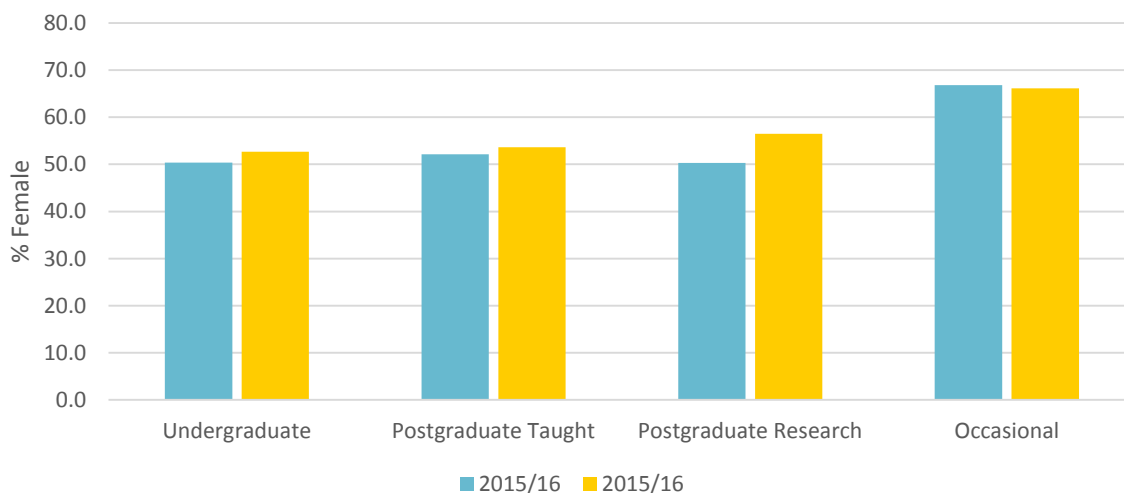


Figure 3.4: Percentage of female students, STEM and AHSS

HEA figures for 2014/15 (Table 3.3 and Figure 3.5) show UCD student numbers in comparison to the Irish University Sector (IUS) across STEM.

	Field of Study	University College Dublin			Irish University Sector			UCD	IUS
		Female	Male	Total	Female	Male	Total	%F	%F
STEMM	Natural sciences, mathematics & statistics	1,253	1,410	2,663	6,952	7,483	14,435	47.1%	48.2%
	Information & Communication Tech (ICT)	121	725	846	1,184	4,622	5,806	14.3%	20.4%
	Engineering, manufacturing and construction	733	1,608	2,341	2,119	6,636	8,755	31.3%	24.2%
	Agriculture, forestry, fisheries & veterinary	1,228	967	2,195	1,231	973	2,204	55.9%	55.9%
	Health and welfare	3,645	1,479	5,124	15,348	5,637	20,985	71.1%	73.1%
Total STEMM		6,980	6,189	13,169	26,834	25,351	52,185	53.0%	51.4%
AHSS		6,526	6,027	12,553	34,396	27,017	61,413	52.0%	56.0%
Total		13,506	12,216	25,722	61,230	52,368	113,598	52.5%	53.9%
% STEMM		51.7%	50.7%	51.2%	43.8%	48.4%	45.9%		

Table 3.3 STEMM students by field of study⁶: Irish university comparison 2014/15

⁶ There are slight differences in the cohorts included in this table and Table 3.2.

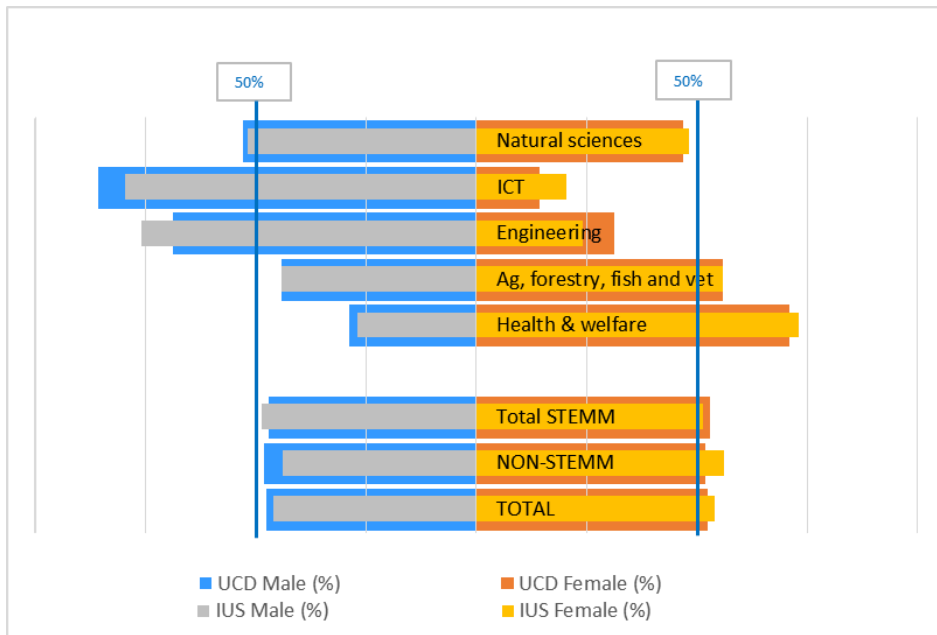


Figure 3.5: UCD and the Irish university sector student profile

The percentage of UCD students in STEMM who are female is slightly higher than the equivalent national figure. The areas with lowest female participation are ICT and Engineering, Manufacturing and Construction. These are already the focus of outreach and engagement activity, including attention to the gender dimension, and this will be strengthened under the GEAP (Action 3.3). UCD's commitment to widening participation⁷ provides clear opportunities in this regard.

Consideration of gender issues among students will also be improved by inviting a student representative to join the SAT under Action 2.3.

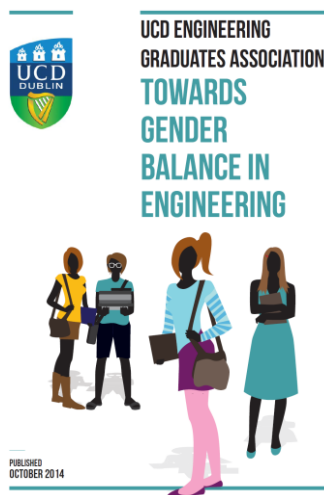


Figure 3.6: UCD Engineering Graduates Association report (2014) on gender balance in Engineering

Action	
3.3	Expand initiatives in ICT and Engineering to improve intake of female students and support their retention

⁷ Widening participation is a strategic objective, supported by the Widening Participation (WP) Committee and in particular, the Access Office. The SAT links with the WP committee through the EDI Group.

3.1.3ii Staff

In March 2016 UCD had 3,221 staff FTEs: 1,013 faculty, 402 research and 1,807 support. The percentage of female staff has remained consistent at 54% over the last 3 years. This varies by category: 41% of faculty and 63% of support staff are female.

Category	March 2014				March 2015				March 2016			
	F	M	Total	%F	F	M	Total	%F	F	M	Total	%F
Faculty	393	556	949	41%	406	578	984	41%	419	594	1,013	41%
Research	145	192	337	43%	154	215	369	42%	176	226	402	44%
Support	1,153	674	1,827	63%	1,145	673	1,817	63%	1,143	664	1,807	63%
Total	1,690	1,423	3,113	54%	1,704	1,466	3,170	54%	1,738	1,483	3,221	54%

Table 3.4: Staff FTEs by category and gender

Tables 3.5-3.7 show how these numbers break down across the STEMM colleges and schools.

College	School	2014			2015			2016		
		F	M	%F	F	M	%F	F	M	%F
Science	Biology & Env'tal Science	9	15	37%	9	16	36%	8	15	35%
	Biomol & Biomed Science	15	20	43%	15	21	43%	16	21	43%
	Chemistry	3	16	16%	3	16	16%	2	17	11%
	Computer Science	3	30	10%	5	33	13%	7	37	16%
	Earth Science	1	12	8%	1	11	8%	2	11	15%
	Maths & Statistics	9	30	23%	10	30	25%	12	33	27%
	Physics	5	16	23%	5	16	23%	5	17	22%
College of Science		45	139	24%	48	143	25%	52	151	25%
Engineering and Architecture	Arch, Plan & Env'tal Pol	11	16	40%	11	17	40%	11	17	39%
	Biosystems & Food Eng	1	9	10%	1	8	12%	2	8	21%
	Chemical & Biochem Eng	2	8	20%	2	11	16%	2	10	17%
	Civil Eng	3	10	23%	3	10	23%	3	10	23%
	Electrical & Electronic Eng	1	14	7%	2	19	10%	2	22	8%
	Mechanical, Materials Eng	1	16	6%	1	16	6%	2	18	10%
College of Engineering & Architecture		19	73	21%	20	80	20%	22	85	21%
Health and Agricultural Sciences	Agriculture & Food Sci	25	34	42%	24	32	42%	29	32	48%
	Medicine	42	50	46%	41	48	46%	42	45	48%
	Nurs, Midwif & Health Sys	41	5	89%	43	7	86%	44	8	85%
	PHPS Sci	17	16	51%	17	16	51%	18	16	53%
	Veterinary Medicine	33	26	56%	36	29	56%	33	30	53%
College of Health & Agricultural Science		157	130	55%	160	131	55%	167	130	56%
STEMM TOTAL		221	342	39%	228	354	39%	240	366	40%
UCD TOTAL		393	556	41%	406	578	41%	419	594	41%
STEMM as proportion of UCD TOTAL		56%	62%		56%	61%		57%	61%	

Table 3.5 Faculty FTEs by college and school

Women currently make up 40% of STEMM faculty FTEs, almost identical to the percentage across the broader university. Most of this figure comes from the College of Health and Agricultural Sciences, and in particular the School of Nursing, Midwifery and Health Systems. The female percentages in the other two STEMM colleges are just 21% and 25%, and as low as 8% in the School of Electrical & Electronic Engineering.

The under-representation of women among Science and Engineering faculty is one of the significant issues highlighted in this report. Many of the actions in the GEAP are designed to address this, in particular those relating to recruitment (Section 4.1.1).

College	School	2014			2015			2016		
		F	M	%F	F	M	%F	F	M	%F
Science	Biology & Env'tal Science	6	6	52%	3	12	22%	2	17	12%
	Biomol & Biomed Science	14	12	53%	15	16	48%	11	13	46%
	Chemistry	8	14	36%	5	13	27%	14	17	45%
	Computer Science	4	21	14%	2	21	7%	3	10	20%
	Earth Science	2	2	50%	1	3	24%	2	11	15%
	Maths & Statistics	1	7	13%	2	13	13%	2	11	15%
	Physics	7	12	36%	3	12	20%	5	10	34%
College of Science		42	75	36%	31	90	25%	39	89	30%
Engineering and Architecture	Arch, Plan & Env'tal Pol		3	0%	2	5	29%	2	4	31%
	Biosystems & Food Eng	2	9	18%	2	5	29%	2	4	34%
	Chemical & Biochem Eng		7	0%		7	0%	1	7	13%
	Civil Eng	3	8	27%	4	5	44%	3	7	29%
	Electrical & Electronic Eng		5	0%	1	5	17%	6	9	40%
	Mechanical, Materials Eng	1	9	10%	1	6	14%	5	12	30%
College of Engineering & Architecture		6	42	13%	10	33	23%	19	43	31%
Health and Agricultural Sciences	Agriculture & Food Sci	11	4	73%	14	9	62%	10	14	41%
	Medicine	44	35	56%	49	39	56%	42	37	53%
	Nurs, Midwif & Health Sys	3		100%	4		100%	12		100%
	PHPS Sci	5	6	45%	8	3	73%	15	3	85%
	Veterinary Medicine	3	8	24%	1	6	14%	2	8	20%
College of Health & Agricultural Science		65	53	55%	76	57	57%	80	61	57%
STEMM TOTAL		113	169	40%	117	180	39%	137	193	42%
UCD TOTAL		145	192	43%	154	215	42%	176	226	44%
STEMM as proportion of UCD TOTAL		78%	88%		76%	84%		78%	85%	

Table 3.6: Research FTEs by college and school

42% of STEMM research staff are female, a figure again dominated by the 57% in the College of Health and Agricultural Sciences. In the other two colleges the percentage of women among research staff is lower, but is higher than the female faculty percentage. This gives one indication of the population of women in the pool of candidates for faculty recruitment.

College	School	2014			2015			2016		
		F	M	%F	F	M	%F	F	M	%F
Science	Biology & Env'tal Science	12	12	52%	13	15	46%	14	15	49%
	Biomol & Biomed Science	22	8	72%	23	7	76%	19	9	67%
	Chemistry	11	17	41%	11	12	47%	14	10	59%
	Computer Science	14	29	33%	16	37	30%	15	26	36%
	Earth Science	5	9	35%	6	5	55%	8	9	46%
	Maths & Statistics	6	3	66%	7	4	65%	6	4	59%
	Physics	5	9	36%	3	13	19%	4	9	33%
College of Science		76	87	47%	79	92	46%	79	82	49%
Engineering and Architecture	Arch, Plan & Env'tal Pol	3	9	28%	3	7	32%	8	5	61%
	Biosystems & Food Eng	3	1	68%	3	1	69%	2	1	67%
	Chemical & Biochem Eng	4	8	33%	5	11	32%	4	10	30%
	Civil Eng	0	4	4%		6	0%	1	6	12%
	Electrical & Electronic Eng	6	16	28%	7	17	30%	10	19	35%
	Mechanical, Materials Eng	4	16	20%	3	13	16%	6	20	24%
College of Engineering & Architecture		20	53	28%	21	54	28%	30	59	34%
Health and Agricultural Sciences	Agriculture & Food Sci	37	24	61%	33	21	61%	36	17	67%
	Medicine	113	54	68%	128	57	69%	141	47	75%
	Nurs, Midwif & Health Sys	20	5	80%	19	3	85%	22	4	84%
	PHPS Sci	24	13	66%	26	12	68%	23	14	62%
	Veterinary Medicine	77	29	73%	69	29	70%	74	33	69%
College of Health & Agricultural Science		272	125	68%	275	123	69%	296	116	72%
STEMM TOTAL		368	265	58%	374	270	58%	405	257	61%
UCD TOTAL		1,153	674	63%	1,145	673	63%	1,143	664	63%
STEMM as proportion of UCD TOTAL		32%	39%		33%	40%		35%	39%	

Table 3.7 Support staff FTEs by STEMM college and school

The proportion of female STEMM support staff in STEMM Colleges and Schools has increased from 58% in 2014 to 61% in 2016. Once again, the highest proportion is in the College of Health and Agricultural Sciences and the lowest in Engineering and Architecture.

3.2 Staff data

3.2.1 Proportion of all categories of academic staff by gender.

The gender breakdown of academic staff across grades is illustrated in Figure 3.7, with the data presented in Table 3.8. The familiar scissors form of the plot shows the gender gap in academic progression, which appears at Associate Professor grade and widens at more senior levels. Only 20.7% of UCD Full Professors and 17.8% of STEMM Full Professors are female. At each grade the percentage of female faculty in UCD STEMM is lower than that for the university as a whole.

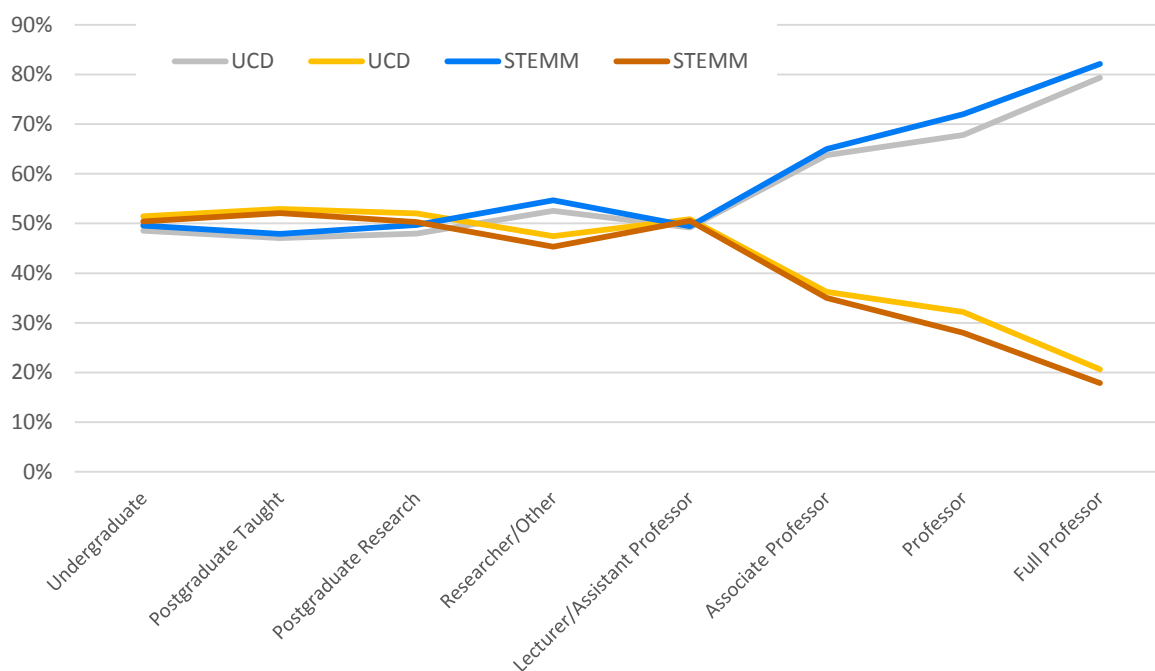


Figure 3.7: % Female and Male Students, Researchers and Faculty by Grade: UCD & UCD STEMM

Grade	UCD ⁸ %F	UCD STEMM %F	UCD AHSS %F	Irish University Sector% Female ⁹
Researcher	47.5%	45.3%	55.9%	N/A
Lecturer/Assistant Professor	50.8%	50.6%	51.2%	50.0%
Associate Professor	36.2%	35.0%	40.4%	35.0%
Professor	32.2%	28.0%	39.9%	26.0%
Full Professor	20.7%	17.8%	25.3%	19.0%

Table 3.8 Gender and Grade Profile for UCD and Irish University Sector: March 2016

More detailed data for the STEMM colleges are presented in Figure 3.8 and Table 3.9. Both the under-representation of women and the gender gap in academic progression are very clear from the data. The College of Health and Agricultural Sciences again has the highest female percentage at all academic levels.

⁸ These data refer to FTE. Elsewhere in the document, headcount may be used as appropriate. FTE figures are used at certain points to ensure consistency of published data, e.g. UCD is required to report to the HEA on the basis of FTE data.

⁹ Source: Higher Education Authority (HEA) available at <http://www.heai.ie/en/statistics/overview>

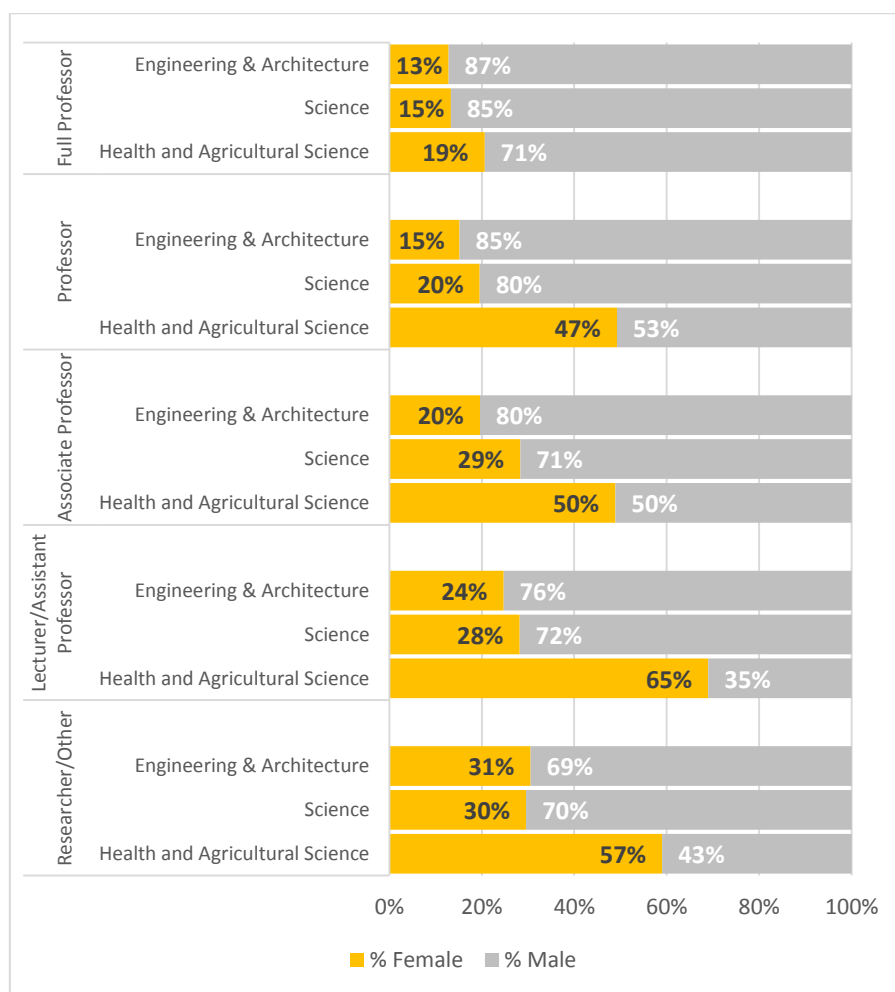


Figure 3.8: Percentage female and male faculty and research staff by STEMM college

School	Lecturer/Assistant Professor			Associate Professor			Professor			Full Professor		
	F	M	%F	F	M	%F	F	M	%F	F	M	%F
Biology & Env'tl Science	2	7	22%	3	5	38%	3	1	75%		2	0%
Biomol & Biomed Science	6	9	40%	8	6	57%	1	4	20%	1	2	33%
Chemistry	1	3	25%	1	7	13%		1	0%		6	0%
Computer Science	3	19	14%	3	11	21%		3	0%	1	4	20%
Earth Science	2	4	33%		3	0%		2	0%		2	0%
Maths & Statistics	9	18	33%	3	9	25%		1	0%		5	0%
Physics	2	5	29%	1	6	14%		4	0%	2	2	50%
College of Science	25	65	28%	19	47	29%	4	16	20%	4	23	15%
Arch, Plan & Env'tl Pol	9	12	43%	1	1	50%		1	0%	1	3	25%
Biosystems & Food Eng	1	1	50%	1	2	33%		2	0%		2	0%
Chemical & Biochem Eng	0	4	0%	2	2	50%		3	0%		1	0%
Civil Eng	0	3	0%	2	5	29%	1	1	50%		1	0%
Electrical & Electronic Eng	1	8	11%		7	0%	1	3	25%		4	0%
Mechanical, Materials Eng	1	9	10%		7	0%		1	0%	1	2	33%
College of Eng & Arch	12	37	24%	6	24	20%	2	11	15%	2	13	13%
Agriculture & Food Sci	24	13	65%	4	11	27%	1	3	25%	1	5	17%
Medicine	28	14	67%	9	8	53%		2	0%	5	22	19%
Nurs, Midwif & Health Sys	41	4	91%		2	0%	2	1	67%	1	1	50%
PHPS Sci	8	9	47%	7	1	88%	2	1	67%	1	5	17%
Veterinary Medicine	22	16	58%	7	6	54%	3	2	60%	1	6	14%
College of Health & Ag Sci	102	56	65%	27	27	50%	8	9	47%	9	39	19%

Table 3.9 STEMM faculty breakdown by school and gender¹⁰

¹⁰ March 2016 data. FTE figures are rounded by category.

Closing the gender gap in academic progression is one of the priorities of the GEAP. Actions designed to address it include removal of barriers to female progression (e.g. through support following maternity leave), a new development system that will assist in preparation for promotions, clear expectations of academic leaders, unconscious bias training, and gender targets in promotions.

3.2.2 Leavers by grade and gender

Reasons for academic staff leaving employment in UCD include retirement, end of contract and a small amount of natural mobility. The majority of leavers are at researcher grades, due to the end of the fixed-term contracts that are the norm for that cohort. The percentages of female leavers at the researcher and lecturer grades are higher than the male percentages, both across UCD and in STEMM. This needs to be investigated through a systematic increase in exit interviews (Action 3.4), and addressed based on the findings. At the senior grades the numbers leaving are very small, and largely due to retirements.

Grade	2013/2014				2014/2015				Mar-16			
	UCD		UCD STEMM		UCD		UCD STEMM		UCD		UCD STEMM	
	F	M	F	M	F	M	F	M	F	M	F	M
Lecturer/Assistant Professor	21	16	14	11	15	11	9	3	16	5	10	2
Associate Professor	6	6	3	4	4	7	1	5	2	2	1	2
Professor	1	3	0	2	2	5	0	2	1	2	0	0
Full Professor	2	8	0	4	1	14	0	9	3	5	0	2
Total (Faculty)	30	33	17	21	22	37	10	19	22	14	11	6
Researcher	98	129	57	78	116	134	72	93	120	141	90	98
TOTAL	128	162	74	99	138	171	82	112	142	155	101	104

Table 3.10 : Leavers by gender and grade

Grade	2013/2014				2014/2015				Mar-16			
	UCD		UCD STEMM		UCD		UCD STEMM		UCD		UCD STEMM	
	F	M	F	M	F	M	F	M	F	M	F	M
Lecturer/Assistant Professor	9%	7%	10%	9%	5%	4%	6%	2%	6%	2%	6%	1%
Associate Professor	7%	4%	5%	4%	5%	5%	2%	5%	2%	1%	2%	2%
Professor	4%	5%	0%	5%	8%	9%	0%	6%	4%	4%	0%	0%
Full Professor	6%	6%	0%	5%	3%	9%	0%	11%	4%	4%	0%	3%
Total (Faculty)	8%	6%	8%	6%	5%	6%	4%	5%	5%	2%	5%	2%
Researcher	68%	67%	50%	46%	75%	62%	62%	52%	68%	62%	66%	51%

Table 3.11: Leavers by gender and grade as percentage of cohort (turnover rate)

Action
3.4 Introduce exit interviews for faculty.

3.2.3 Contract type

Tables 3.12 and 3.13 show the numbers on permanent and temporary contracts by gender, for the university and for STEMM. Almost all research staff are on fixed-term contracts – this is the norm within Irish universities. Among support staff there is no significant gender difference in permanence. Among faculty, more women than men are on temporary contracts. This is in part a pipeline issue – most temporary faculty contracts are at lecturer level and among recent hires, where the percentage of women faculty is highest – but there is evidence of a gender gap beyond the pipeline and this will be investigated further, including at the national level (Action 3.5).

	2014			2015			2016		
	F	M	% F	F	M	% F	F	M	% F
Faculty									
Permanent	341	509	40%	360	530	40%	361	530	41%
Temporary	132	104	56%	130	111	54%	123	105	54%
Total	474	613	44%	490	642	43%	484	634	43%
Research									
Permanent	4	7	37%	3	6	35%	5	9	35%
Temporary	140	185	43%	150	208	42%	171	217	44%
Total	145	192	43%	154	215	42%	176	226	44%
Support									
Permanent	707	407	63%	700	404	63%	712	404	64%
Temporary	365	210	63%	360	206	64%	366	219	63%
Total	1,072	618	63%	1,061	610	64%	1,078	623	63%

Table 3.12 Employee FTEs by category and by contract type

	2014			2015			2016		
	F	M	% F	F	M	% F	F	M	% F
Faculty									
Permanent	183	311	37%	190	320	37%	191	320	37%
Temporary	74	56	57%	76	67	53%	89	72	55%
Total	257	367	41%	266	387	41%	280	392	42%
Research									
Permanent	2	7	26%	2	6	29%	4	9	30%
Temporary	111	162	41%	114	174	40%	133	184	42%
Total	113	169	40%	117	180	39%	137	193	42%
Support									
Permanent	176	129	58%	178	123	59%	191	123	61%
Temporary	175	138	56%	184	137	57%	195	131	60%
Total	351	267	57%	362	260	58%	387	254	60%

Table 3.13: STEMM employee FTEs by category and by contract type

Action	
3.5	Investigate gender dimension in permanency/fixed-term contracts.

3.2.4 Pay audits

As part of the Athena SWAN process, UCD conducted an initial pay analysis, disaggregated by gender (Table 3.14). There are some gender variations – these are relatively small, and the balance changes. This will be kept under review (Action 3.6). A very small number of faculty can be granted a departure from the normal salary framework, subject to internal and external approval. Just two of these have been granted over the past three years (to two males).

Grade	2014			2015			2016		
	Female €	Male €	F/M	Female €	Male €	F/M	Female €	Male €	F/M
Researcher/Other	39,813	42,302	0.94	40,805	40,066	1.02	37,942	38,353	0.99
Lecturer/Assistant Professor	63,170	64,470	0.98	61,652	64,942	0.95	62,038	64,465	0.96
Associate Professor	84,108	84,715	0.99	85,674	84,863	1.01	81,947	83,775	0.98
Professor	96,732	95,182	1.02	89,474	94,991	0.94	89,596	93,499	0.96
Full Professor	123,888	122,447	1.01	122,148	121,915	1.00	123,832	125,608	0.99

Table 3.14 Average annual pay by gender and grade 2014-16

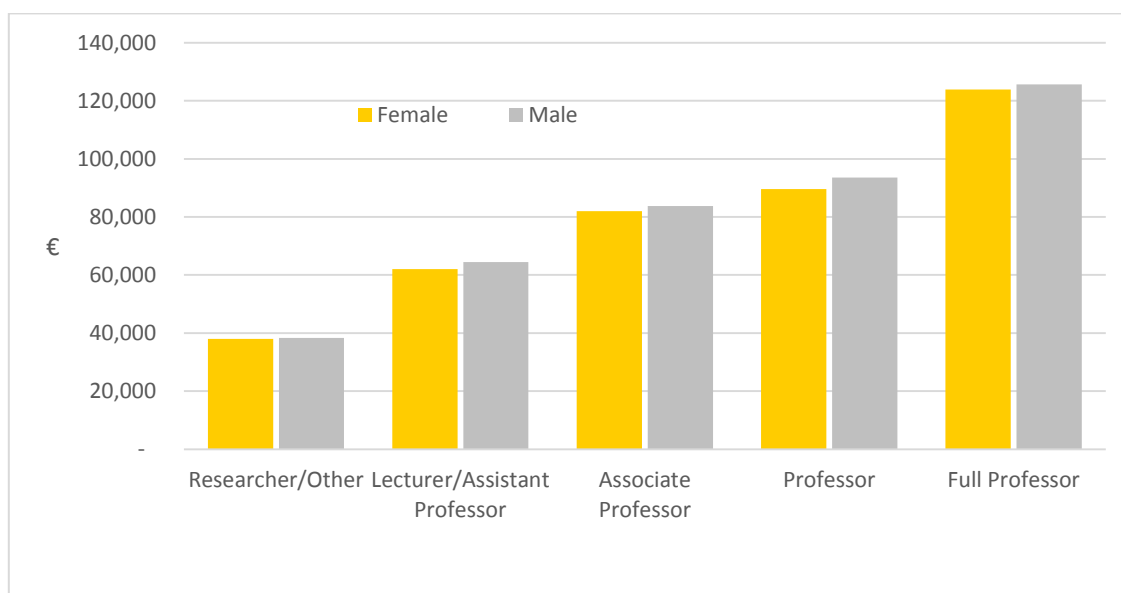


Figure 3.9: Average annual pay by academic grade

Action	
3.6	Annual review of gender dimension in pay to ensure equality. Apply remedy where needed.

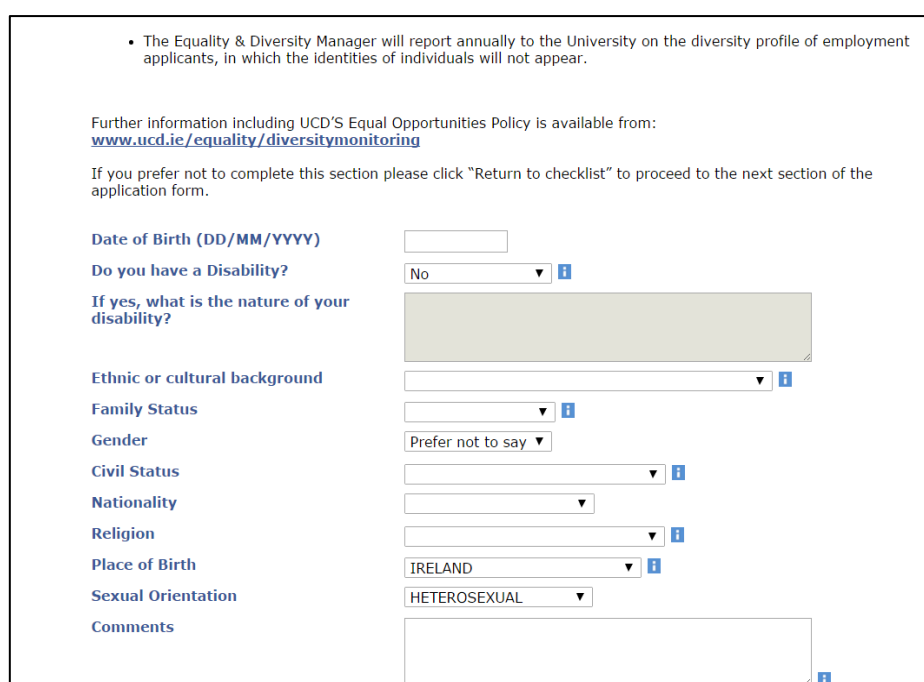
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Chapter 4 Supporting and advancing women’s careers

4.1 Key Career Transition Points

4.1.1 Recruitment

Examination of gender equality in recruitment has, to this point, been hampered by the lack of comprehensive historical data. Gender is among the nine grounds covered by UCD’s Diversity Monitoring Tool (launched 2012), but this is completed on a confidential and voluntary rather than a mandatory basis. Under the Gender Equality Action Plan (GEAP), steps will be taken towards a mandatory response on gender, with safeguards. (Included in Action 4.1 – see details in GEAP.)



The screenshot shows a web form for the Diversity Monitoring Tool. At the top, there is a bullet point: "The Equality & Diversity Manager will report annually to the University on the diversity profile of employment applicants, in which the identities of individuals will not appear." Below this, there is a link for further information: "Further information including UCD'S Equal Opportunities Policy is available from: www.ucd.ie/equality/diversitymonitoring". A note states: "If you prefer not to complete this section please click 'Return to checklist' to proceed to the next section of the application form." The form fields are as follows:

Date of Birth (DD/MM/YYYY)	<input type="text"/>
Do you have a Disability?	No <input type="button" value="i"/>
If yes, what is the nature of your disability?	<input type="text"/>
Ethnic or cultural background	<input type="text"/> <input type="button" value="i"/>
Family Status	<input type="text"/> <input type="button" value="i"/>
Gender	Prefer not to say <input type="button" value="i"/>
Civil Status	<input type="text"/> <input type="button" value="i"/>
Nationality	<input type="text"/>
Religion	<input type="text"/> <input type="button" value="i"/>
Place of Birth	IRELAND <input type="button" value="i"/>
Sexual Orientation	HETEROSEXUAL <input type="button" value="i"/>
Comments	<input type="text"/> <input type="button" value="i"/>

Figure 4.1: Diversity monitoring tool

Diversity Monitoring Tool
launched 2012
(covering 9 equality grounds,
optional)

The variable response rate to the diversity question limits its reliability. Therefore, for the purposes of this assessment, we looked beyond the tool and undertook a manual examination of the raw recruitment data for 2013-2015. Table 4.1¹¹ shows the results of this assessment, across all disciplines. The planned automatic and mandatory collection of data at recruitment stage (see details of Action 4.1 in the GEAP) will increase our capacity to identify and therefore to address issues arising, including discipline-specific aspects.

¹¹ Again, throughout this section the current grade titles are used, rather than the ones that applied at the time of the competitions in question.

Year	Grade	Applied				Shortlisted				Appointed			
		F	M	Total	%F	F	M	Total	%F	F	M	Total	%F
2013	Researcher/Other	796	1250	2046	39%	205	214	419	49%	53	79	132	40%
	Lecturer/Assistant Professor	751	1233	1984	38%	212	235	447	47%	48	44	92	52%
	Associate Professor	2	21	23	9%	0	8	8	0%	0	2	2	0%
	Full Professor ¹²	29	83	112	26%	11	15	26	42%	4	4	8	50%
	University Total	1578	2587	4165	38%	428	472	900	48%	105	129	234	45%
2014	Researcher/Other	693	857	1550	45%	225	197	422	53%	69	90	159	43%
	Lecturer/Assistant Professor	751	1344	2095	36%	187	224	411	45%	43	51	94	46%
	Associate Professor	12	10	22	55%	5	6	11	45%	0	2	2	0%
	Professor	2	23	25	8%	2	3	5	40%	0	1	1	0%
	Full Professor	12	52	64	19%	7	17	24	29%	1	7	8	13%
	University Total	1470	2286	3756	39%	426	447	873	49%	113	151	264	43%
2015	Researcher/Other	705	950	1655	43%	206	180	386	53%	86	92	178	48%
	Lecturer/Assistant Professor	1230	2761	3991	31%	247	360	607	41%	57	54	111	51%
	Associate Professor	46	106	152	30%	7	10	17	41%	1	3	4	25%
	Professor	7	26	33	21%	4	5	9	44%	1	1	2	50%
	Full Professor	34	118	152	22%	11	24	35	31%	0	4	4	0%
	University Total	2022	3961	5983	34%	475	579	1054	45%	145	154	199	48%
2013 - 2015	Researcher/Other	2194	3057	5251	42%	636	591	1227	52%	208	261	469	44%
	Lecturer/Assistant Professor	2732	5338	8070	34%	646	819	1465	44%	148	149	297	50%
	Associate Professor	60	137	197	30%	12	24	36	33%	1	7	8	13%
	Professor	9	49	58	16%	6	8	14	43%	1	2	3	33%
	Full Professor	75	253	328	23%	29	56	85	34%	5	15	20	25%
	University Total	5070	8834	13904	36%	1329	1498	2827	47%	363	434	797	46%

Table 4.1: Number of applicants, those shortlisted and those appointed across the university for 2013-2015.

There is a significant gender gap at the application stage, with just 36% of applications from women. At the entry-level faculty grade of Lecturer/Assistant Professor, the average percentage of women among those applying is just 34%, but the average percentage among those shortlisted is 44% and the average percentage among those appointed is 50%.

The numbers appointed at grades above Lecturer/Assistant Professor are very small, and it is hard to draw reliable conclusions on a disaggregated basis. However, the aggregate data over the three senior grades and the three years does reinforce the emerging picture of female under-representation at each stage of the recruitment process.

The GEAP includes a full review of recruitment and selection procedures, including equality proofing of job descriptions and advertisements (Action 4.1) and unconscious bias training for selection committees (Action 4.7). Search committees will also be required to identify and encourage suitable female applicants in areas where they are under-represented (Action 4.2).

¹² Note that there was no recruitment process for Professor in 2013.

Actions:	
4.1	Complete full review of recruitment and selection procedures to ensure that they are gender-sensitive (including promoting gender balance and proofing for gender bias). Put plan in place to implement recommendations.
4.2	Require all faculty search committees to take steps to identify and encourage suitable female applicants in areas where they are under-represented, and to report on this.

4.1.2 Induction

New staff are supported through a university-level orientation programme and a local induction. The university-level orientation includes information on the equal opportunities and dignity and respect policies, and relevant supports in the university. The Head of School is responsible for the design and delivery of induction within a school, and there can be inconsistencies here. There is also a researcher-specific orientation event and an annual induction for Heads of School.

Under Action 4.3, UCD will be more active in ensuring and supporting local induction, including coverage of gender equality. The Head of School induction is a key opportunity to highlight their responsibility on gender equality (see Action 4.11).

Action	
4.3	Require schools to provide, actively and consistently, local induction to new staff, including coverage of gender equality, diversity and inclusion. Provide support for this.

4.1.3 Personal Development Review

Under the new HR Strategy, UCD is developing a Performance and Development system, *Performance for Growth* (P4G), characterised by regular and ongoing feedback and development conversations. Appropriate skills training will be provided to support this initiative. This is an important development with clear potential to address challenges identified by the Athena SWAN assessment.

UCD is committed to integrating gender equality at all stages of P4G from design to evaluation, and has had detailed input from all staff categories.

While women participate in development activities, their progression is still poorer than that of men. It is expected that the P4G programme, dovetailing with the new Faculty Development Framework and promotions process, will be one important input to address these issues.

Action	
4.4	Integrate gender equality in the Performance for Growth process (in development, implementation and review) to ensure it supports gender equality in all aspects of its operation.

4.1.4 Promotion

A new Faculty Development Framework and Promotions System was launched in June 2016, replacing a system of fixed (and latterly infrequent) promotion rounds, which bring significant pressures for the applicants and the institution, with a rolling process where applicants apply at a time of their choosing. This was strongly welcomed by faculty.

New Faculty Development Framework and Promotions System launched June 2016

Table 4.2 and 4.3 include data from the last two rounds under the old promotions system.

Year	Promotion to	%F at grade below (2013 data ¹³)	Applied				Promoted			
			F	M	Total	%F	F	M	Total	%F
2009	Associate Professor	51%	25	33	58	43%	8	8	16	50%
	Professor	37%	15	32	47	32%	5	18	23	22%
	Full Professor	26%	5	9	14	36%	4	3	7	57%
2013	Associate Professor	51%	69	80	149	46%	33	47	80	41%
	Professor	37%	27	39	66	41%	6	12	18	33%
	Full Professor	26%	9	29	38	24%	3	11	14	21%
2009 STEMM only	Associate Professor	52%	12	20	32	37%	3	6	9	33%
	Professor	38%	4	20	24	17%	2	11	13	15%
	Full Professor	19%	1	7	8	13%	1	3	4	25%
2013 STEMM only	Associate Professor	52%	31	49	80	39%	15	33	48	31%
	Professor	38%	16	23	39	41%	3	5	8	38%
	Full Professor	19%	3	26	29	10%	1	10	11	9%
2009- 2013	Associate Professor	51%	94	113	207	45%	41	55	96	43%
	Professor	37%	42	71	113	37%	11	30	41	27%
	Full Professor	26%	14	38	52	27%	7	14	21	33%
2009- 2013 STEMM only	Associate Professor	52%	43	69	112	38%	18	39	57	32%
	Professor	38%	20	43	63	32%	5	16	21	24%
	Full Professor	19%	4	33	37	11%	2	13	15	13%

Table 4.2 Gender breakdown of applications and promotions in 2009 and 2013, across the University and in STEMM.

¹³ 2009 data on pool of eligible candidates not readily available, so 2013 data used as an estimate.

Year	Promotion to	Success rate among female applicants	Success rate among male applicants
2009	Associate Professor	32%	24%
	Professor	33%	56%
	Full Professor	80%	33%
2013	Associate Professor	48%	59%
	Professor	22%	31%
	Full Professor	33%	38%
2009 STEMM only	Associate Professor	25%	30%
	Professor	50%	55%
	Full Professor	100%	43%
2013 STEMM only	Associate Professor	48%	67%
	Professor	19%	22%
	Full Professor	33%	38%

Table 4.3 Success rate for female and male applicants in 2009 and 2013, across the university and in STEMM.

It is unclear how relevant data from the old promotions system will be to the new system, but the following points can be made about that system:

- In most cases, and particularly in STEMM, the percentage of women among applicants for promotion was lower than the percentage among those eligible.
- Success rates varied, but were typically higher for men than for women. At Full Professor level in STEMM, the problem of low numbers of women among those applying and promoted was particularly acute.

Consultation around promotions in the Athena SWAN survey raised a number of issues including lack of clarity around how leave is factored into the promotions process, unconscious bias, gender balance and limited communication on how decisions are made. In the light of this, the new promotions policy was equality proofed prior to its introduction by subgroups of the EDI Group and SAT Working Group on Transitions. Their recommendations noted the positive aspects of a rolling scheme but highlighted the potential impact of gendered perceptions of 'readiness' both by managers and applicants.

Responding to these concerns, the new promotions guidelines include at the outset the Equality and Diversity statement in Figure 4.2. A broad range of criteria are considered, as shown in Figure 4.3. The policy applies to part-time as well as full time faculty, and was modified to include those on temporary as well as permanent contracts. For periods of leave, the clock effectively stops, so that those periods do not count in time-bound assessments. The new Faculty Promotions Committee consists of four women and six men, all of whom have taken unconscious bias training.

Equality and Diversity

The University is committed to advancing equality of opportunity and in sustaining an environment that values and celebrates the diversity of its faculty and is steadfastly committed to non-discrimination on the grounds of age, civil status, disability, family status, gender, race (which includes nationality or ethnic origin), sexual orientation, religion, and membership of the Traveller community.

The mechanism and process for determining academic excellence in promotions shall uphold the University's commitment to Equality, Diversity and Inclusion.

Consideration will be given to any personal, family or non-academic circumstances since the candidate's last promotion or appointment, which may have impacted on performance for a limited period.

The University welcomes applications from both full-time and part-time faculty.

Recognising the objective of the University Strategy 2015-2020 to promote gender balance and equality of opportunity among students and employees of the University, the University acknowledges that females are under-represented at Professor and Full Professor level and encourages female academics to apply for promotion, at their discretion.

Figure 4.2: Equality and diversity statement from promotions material

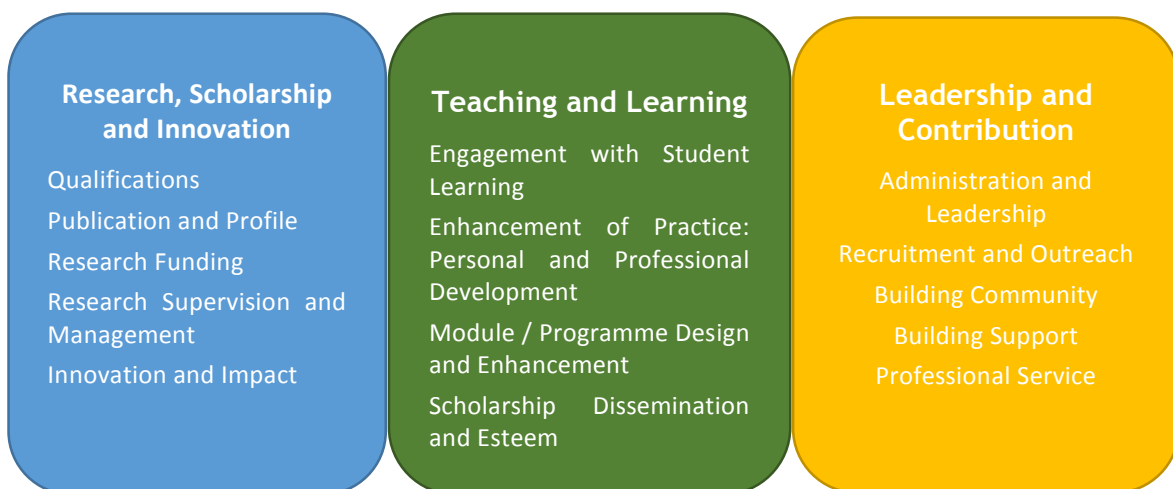


Figure 4.3: Range of activities considered in promotions applications

Under the GEAP, annual gender equality targets will be introduced for the new process, with the measure being at least in proportion to the number of women at the grade below (the cascade model; Action 4.5). If the targets are not met, action will be taken. In addition, the new process will be reviewed in its entirety after a year of operation, including assessment of equality impact (Action 4.6).

The new promotions process began in Summer 2016, and no candidates have yet been promoted. Encouragingly, after a slow start, the percentage of female applicants at each of the three stages is currently almost equal to the female percentage at the grade below the promotional step. This is

a significant improvement on the previous system and promising for the cascade model. (Table 4.4)

Promotion to	%F among applicants	%F at grade below
Associate Professor	55%	51%
Professor	35%	36%
Full Professor	33%	32%

Table 4.4 Percentage female applications for promotions (preliminary data)

Action:	
4.5	Introduce gender equality targets in promotion, with the measure being at least in proportion to the number of women at the grade below (cascade model). Monitor on an annual basis, and take action as required.
4.6	Conduct rigorous review of new promotions policy after one year, with equality impact assessment to the fore. Amend as necessary.

4.1.5 Selection committees

UCD has clear policies and procedures in place for the appointment of selection committees. In January 2017, this will be further strengthened through the introduction of the gender balance policy, including Actions 4.7 and 4.8 around membership and unconscious bias training. Awareness will be enhanced through actions to increase awareness of HR policies more broadly (Section 4.4.10). The university is cognisant of the risk of committee overload associated with gender balance targets, and will take measures to prevent, monitor and address this. The policy also requires all selection committee members to participate in unconscious bias training.

New gender balance policy for committees

Action:	
4.7	Mandatory unconscious bias training for all selection committee members. Delivery supported by new unconscious bias training panel.
4.8	Selection committee membership, and their Chairs, will consist of at least 40% women and at least 40% men. (Comply or explain.)

The overall assessment of gender equality in transitions reveals gender equality gaps manifesting at each juncture: attraction, appointment and promotion. UCD determines to address this through the series of actions described above, with an expectation that the cumulative effect of the actions can address the overall issue.

4.2 Career Development

4.2.1 Support given to postdoctoral researchers for academic career progression

In Ireland, as elsewhere, only a minority of postdoctoral researchers will progress to faculty positions. Career progression typically involves moving outside academia, and postdoctoral training must prepare researchers for this pathway. Postdoctoral positions are fixed-term in nature, and mobility is a strong feature¹⁴. These structural issues affect family life, with a disproportionate effect on women. UCD is working at a national level to address structural issues around researcher careers, including those relating to gender (Action 4.10).

In recognition of the importance of the postdoctoral career development, UCD established a Research Careers Framework (Figure 4.4), which outlines criteria and supports for career progression. Gender equality was a key consideration in the development of the framework. The university has adopted a HR Strategy for Researchers (HRS4R), again reflecting gender equality, and was the first Irish university to be awarded the EU HR Excellence for Researchers Award.

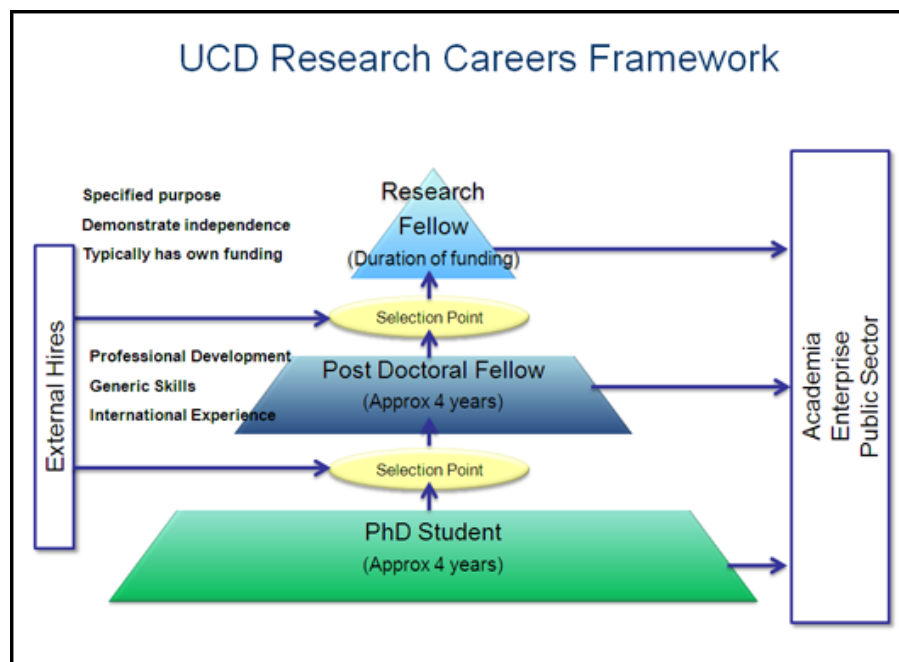


Figure 4.4: Research careers framework

Postdoctoral researchers receive a range of supports, including bespoke induction, mentoring and coaching. Professional development training focuses on transferable skills and includes career development workshops, communication, and community engagement training. Postdoctoral researchers are supported in applying for independent funding. All postdocs have an exit interview.

In 2014, two roles were created (Research Careers Manager and Researcher Development Specialist) to manage and deliver dedicated career and professional development supports for

Online Postdoc Career Development support tool launched Sept. 2015

¹⁴ While this is also the situation in the UK, it is worth noting the different legislative provisions on fixed-term contracts between the two jurisdictions including in relation to permanency and redundancy.

postdoctoral researchers. An online system to support and record the postdoctoral development process from induction to exit was launched in September 2015. Further data and insights will be sought (Action 4.9).

Action:	
4.9	Develop targeted initiative with researchers (survey/workshop) to gather more reliable and focused data on issues and actions, including those relating to gender.
4.10	Continue work at a national level to support researcher careers, highlighting need to address impact of gender on progression opportunities.

4.2.2 Training

Training plays an important role in staff development at UCD. A comprehensive and tailored Learning and Development programme is available through a number of providers¹⁵, comprising generic and bespoke elements. Details are listed on the website www.ucd.ie/learninganddevelopment (Figure 4.5) and included in the staff eZine. All academics can avail of the academic mentoring programme.



Figure 4.5: Learning and Development website

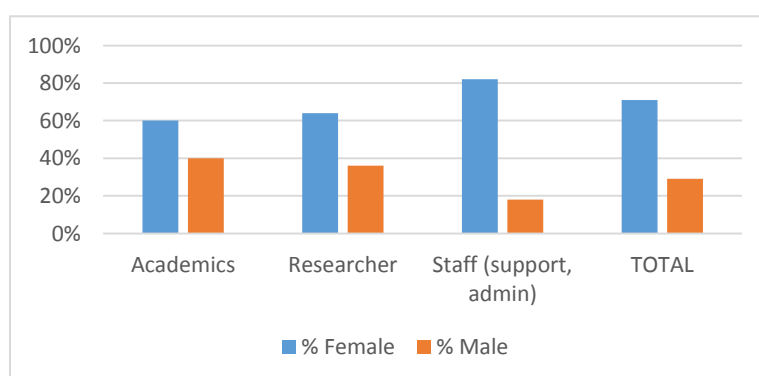


Figure 4.6: Uptake of training programmes by staff category and gender, for 2015/2016 (up to Jan 2016).

As Figure 4.6 shows, more women than men take up training opportunities. The challenge now is to translate uptake of development opportunities into career progression. This will be supported by linking professional development to the new promotions process (See Sections 4.1.3 and 4.1.4.)

¹⁵ Including HR – People Development & Organisational Effectiveness, Teaching and Learning, Access Office, IT Services. See <http://www.ucd.ie/learninganddevelopment/>

Leadership development training has generally been provided on a bespoke basis. Heads of School have access to an external coach and structured leadership training, which includes coverage of gender equality. A new programme of Leadership Development, in support of building a performance and development culture, is currently being developed. This will start with the Extended Leadership Group¹⁶ and cascade throughout the institution from January 2017. This will include gender equality training (Action 4.11).

Leadership training specifically targeting women is provided through UCD's participation in the Aurora Programme. The third group of participants will be ready to start the training in January 2017. The Aurora programme has been highly successful, but more will be done in support of senior women (Action 4.12).

Aurora Leadership Development Programme commenced, Jan 2015. 57 participants to date.

UCD, supported by the Equality Challenge Unit, piloted the programme and established an internal panel of trainers. It has already been delivered to UMT and the Faculty Promotions Committee, and selection committees are the next priority. Unconscious bias training is open to all staff and an online unconscious bias training programme is currently being developed to increase access.

Unconscious Bias Training for Trainers Unconscious bias training has been

Internal panel of Unconscious Bias Trainers established– process commenced April 2016.

Actions	
4.11	Integrate gender equality awareness into leadership development training and induction processes for all leaders.
4.12	Provide leadership development programmes for women, including senior women. Monitor and encourage uptake.

¹⁶ Includes UMT members and Heads of Schools.

4.3 Flexible Working and Managing Career Breaks.

4.3.1 Cover and support for maternity and adoption leave

Maternity leave with pay (26 weeks) is available to all employees who have completed at least 26 weeks of continuous employment. Leave is provided on a pro-rata basis for part-time/job-sharing employees. Employees may take up to an additional 16 weeks' unpaid leave immediately following the 26 weeks' maternity leave.

The policy is similar for an adopting mother or sole male adopter (with 24 weeks paid leave and 16 weeks unpaid). Time off (paid) for ante-natal medical appointments is facilitated through the Head of School/Unit. Adopting parents are entitled to (paid) time off to attend preparation classes and required pre-adoption meetings. While faculty are paid during these periods, there are other issues.

Cover has been inconsistent, which can mean individuals having to make their own leave arrangements and/or assume increased teaching responsibilities on their return. Furthermore, there is a concern that taking this leave can result in people falling behind on their research without a chance to catch up.

Two steps have been taken in response to this:

1. The introduction of the Social Levy in September 2016 represents a key milestone in UCD's journey towards gender equality. There had been a concern that the impact of maternity leave cover on local budgets might act as a disincentive to implementing best practice. The levy, applied as a flat rate across the university, supports a central fund for cover, removing local concerns around the cost of leave within the unit. The levy applies to family related leave (i.e. maternity, adoptive, paternity and parental leave) as well as sick leave and redundancy.
2. In addition to this, in 2014 the College of Science introduced a scheme to support women's research on return from maternity or adoptive leave, by providing teaching cover for a semester. The College of Engineering and Architecture adopted this practice in 2015.

Social Levy (university level) introduced Sept 2016. Leave related costs now covered through central fund.

Action:

- 4.13** Review impact of social levy and provision of cover during maternity leave. Explore the expansion of social levy funding to cover re-entry period following maternity leave

4.3.2 Maternity return rate

Twenty one faculty took maternity leave in 2015. At 30 May 2016, 19 faculty and research staff were on maternity leave.

Grade	Number
Researcher/Other	7
Lecturer/Assistant Professor	10
Associate Professor	2
Total	19

Table 4.5 Faculty on maternity leave (as of 30th May 2016).

Table 4.6 shows a positive picture overall, revealing that the only Professor taking maternity leave returned, 95% of Lecturer/Assistant Professors returned and 93% of researchers returned. After 24 months, 79% of Lecturer/Assistant Professors and 67% of researchers who had taken maternity leave were still in post. The exit interviews in Action 3.4 will help to clarify issues around leaving.

Grade	Number	Returned and in post at 24 months	% Returned and in post at 24 months	Did Not Return	% Did Not Return	Returned but Left Later					
						Left within 6 mo	% Left within 6 mo	Left within 12 mo	% Left within 12 mo	Left within 24 mo	% Left within 24 mo
Researcher/Other	15	10	67%	1	7%	2	12%	1	7%	1	7%
Lecturer/Assistant Professor	19	15	79%	1	5%	3	16%	0	0%	0	0%
Professor	1	1	100%	0	0%	0	0%	0	0%	0	0%
Total	35	26	74%	2	6%	5	14%	1	3%	1	3%

Table 4.6 Detailed breakdown of those returning from maternity leave (and in post up to 24 months post return), for 2014

4.3.3 Paternity, adoption and parental leave uptake

September 2016 saw the introduction of new legislation on paternity leave. Previously there was no legal entitlement to paid leave, but in UCD three days' paid paternity leave was available and accommodated locally. The university was proactive in preparing for the anticipated legislation, responding to feedback from the Athena SWAN consultation (Feb 2015 focus groups). Measures now adopted go beyond what is required in national legislation and include two weeks' paid paternity leave. Table 4.7 suggests low uptake of paternity leave and/or lack of formal recording of leave heretofore. The new measures are expected to resolve the issue.

UCD's policy on Paternity leave implements requirements of new Paternity Leave and Benefit Act 2016, going beyond requirements including on pay.

Year	2013	2014	2015	Total
Researcher/Other	1		1	2
Lecturer/Assistant Professor	1	2	1	4
Associate Professor		3	1	4
Total	2	5	3	10

Table 4.7 Uptake of paternity leave by academic and research staff from 2013-2015.

No STEMM faculty member, and only one member from across the university, has availed of adoptive leave over the past three years.

The number of academic staff availing of parental leave entitlements is small, making it difficult to identify trends over a three-year period. At Researcher and Lecturer/Assistant Professor levels only women took parental leave over the period 2013-2015, but at more senior levels the numbers (while smaller) were more balanced.

Grade	2013		2014		2015	
	Female	Male	Female	Male	Female	Male
Researcher/Other	1	0	2	0	2	0
Lecturer/Assistant Professor	6	0	8	0	9	0
Associate Professor	2	1	0	2	1	2
Professor	1	0	2	0	1	0
Full Professor	0	0	0	0	0	1

Table 4.8 Uptake of parental leave, 2013-2015, by gender

All employees of UCD are entitled to carer's leave. In the 2015 survey, more men reported having caring responsibilities for dependent children than women (56% of men and 44% of women) while more women reported having caring responsibilities for dependent adults (12% of men and 18% of women).

4.3.4 Flexible working

Information on flexible working and related HR policies is freely accessible on the HR website. Applications are usually from those employed on measured hour contracts, and so uptake is greater among staff than faculty. Consultation suggested that this is because the academic contract is inherently flexible and viewed positively by academics.

Among permanent faculty members, 5% of women and 4% of men are employed part-time. Among temporary faculty, 9% of both women and men are employed part-time.

Responding to concerns that part-time working can have negative consequences on career progression, the new promotions policy makes explicit reference to its application to faculty who are employed on a part-time basis.

4.4 Organisation and Culture

UCD seeks to move beyond compliance and non-discrimination to actively promote gender equality and balance. Formal organisational elements and less formal cultural matters, including everyday practices, need to be considered. UCD recognises that aspects of how it is organised and governed (and the more general institutional culture) are central components of its capacity to address gender equality issues.

A policy impact assessment tool was finalised in November 2016, as part of the University Policy Development Framework, and will be piloted in early 2017. The policy will require leaders to assess how any new university policy or strategy will contribute to equality (Action 4.14). Existing policies will also be reviewed (Action 4.15).

Actions:	
4.14	All new policies to be assessed before introduction to ensure they will contribute to equality.
4.15	Develop plan for the rolling review of existing policies to ensure they contribute to equality.

4.4.1 Proportion of Heads of School/Faculty/Department by gender

The university operates a three tier system of academic management involving the University Management Team (UMT), colleges and schools. Women are under-represented in leadership and management positions across the university.

One of the three (33%) College Principals in STEMM and one of the three (33%) in AHSS are women. Three of the six (50%) College Vice-Principals for Research, Innovation and Impact (including two of the three in STEMM) are women. Three of the six (50%) College Vice-Principals for Teaching and Learning (and one of the three in STEMM) are women.

At Head of School level, eight of 18 (44%) Heads in AHSS are women, but an acute problem persists in STEMM (see Figure 4.7), with only one female (acting) of 19 Heads at present (5%).

Head of Subject¹⁷ is another important leadership position within Schools, and 19 of the 74 (26%) STEMM Heads of Subject are female. A comparison of Head of School and Head of Subject data shows, disappointingly, that holding the Head of Subject role is not necessarily having the envisaged positive impact on leadership progression for women.

The 2015 survey showed that only 9% of female respondents and 25% of male respondents consider women sufficiently represented in senior management.

¹⁷ The role of Head of Subject is to support the Head of School by providing academic leadership in the relevant subject area. Policy available online at https://www.ucd.ie/registry/academicsecretariat/docs/headofsubjectrole_po.pdf

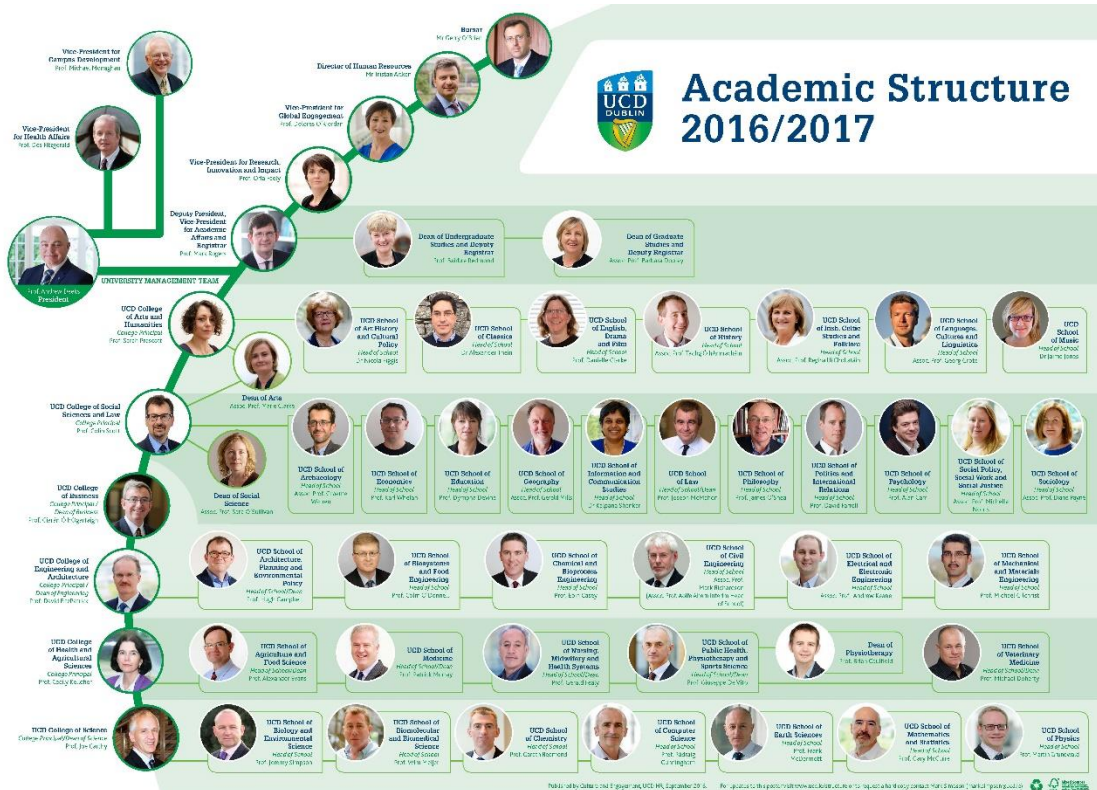


Figure 4.7: UCD academic structure 2016/2017

The gender imbalance at Head of School level in STEMM is a significant problem. It will not be easy or quick to resolve, given the normal five-year term of Heads. Actions to improve female uptake of and appointment to these leadership positions are as follows:

Actions:	
4.16	Evidence of leadership in / commitment to gender equality will be taken into account in appointments to senior leadership positions, including VPs, College Principals and Heads of School, and in ongoing performance reviews.
4.17	Review and equality-proof Heads of School policy.
4.18	College Principals will take steps to achieve gender balance in the appointment of Heads of School, and will report to UMT on this.
4.19	Women faculty in STEMM disciplines will be surveyed to identify obstacles to their applying for Headship of School.
Also	<i>Provide leadership development programmes for women, including senior women.</i>
4.12	

4.4.2 Gender balance on the senior management team

In the past, female representation on the UMT has been low. In January 2014, following the appointment of the current President, the UMT had ten members, one of them female. Of the seven UMT members appointed since then, four are female. Now four of the twelve (33%) UMT members are female.

Female representation on UMT has risen from 10% to 33% since 2014

Female representation on the seven UMT subgroups ranges from 27% to 75%. Only two of the subgroups have a female chair (four are chaired ex-officio by the President / Deputy President). Gender balance will continue to be monitored and plans are in place to introduce gender balance targets in committee appointments (see Action 4.20).

UMT and Sub-Groups	Chair	Ex-Officio Members	Appointed Members	Female Members	Male Members	Total Members	% Female Members
UMT	Male	12	0	4	8	12	33%
Research, Innovation & Impact Group	Female	15	6	10	11	21	48%
Education Group	Male	14	6	15	5	20	75%
Student Experience Group	Male	11	4	7	8	15	46%
Global Engagement Group	Female	21	-	8	13	21	38%
Communications & Branding Group	Male	8	1	4	5	9	44%
Capital Projects Group	Male	6	-	2	4	6	33%
Equality, Diversity and Inclusion Group	Male	2	21	16	7	23	70%

Table 4.9 Gender breakdown of the University Management Team (UMT) and its subgroups.

4.4.3 Representation of men and women on committees

The Governing Authority (GA) has two permanently constituted standing committees. 28% of the GA membership, which is defined by statute, is female. Female representation on GA standing committees is lower, and neither has a female Chair.

Governing Authority and its sub-committees	Chair	Female	Male	Total	% Female
Governing Authority	Male	11	29	40	28%
Finance, Remuneration and Asset Management Committee	Male	2	8	10	20%
Audit and Risk Committee	Male	1	5	6	17%

Table 4.10 Membership of Governing Authority and its subcommittees, by gender

Academic Council¹⁸ oversees academic activity, with responsibilities and membership defined by statute. Responsibilities include directing the educational matters of the university and considering matters of academic governance. Of 381 Academic Council members, 122 (32%) are female, with a clear gender gap in STEMM. (Table 4.11) This is a consequence of the low number of women in leadership and senior academic roles in STEMM.

¹⁸ https://www.ucd.ie/registry/academicsecretariat/docs/ac_tor.pdf

College/Other	F	M	Total	% F
Arts and Humanities	31	21	52	60%
Business	7	17	24	29%
Social Sciences and Law	22	45	67	33%
AHSS total	60	83	143	45%
Engineering and Architecture	4	35	39	10%
Health and Agricultural Science	31	67	98	32%
Science	7	56	63	11%
STEMM total	42	158	200	21%
Student Representatives	12	10	22	55%
Other	7	8	15	47%
Total	122	259	381	32%

Table 4.11 Membership of Academic Council, by gender (November 2016).

Academic Council actively promoted the 40% gender balance target in 2015, the impact of which is visible in the formation of 2015-2018 committees. Seven of nine (78%) AC Committees now meet or exceed the 40% target compared with six of eleven for 2012-2015. 50% of chairs are female (Table 4.12). Particular attention will now be paid to committee overload (given the risk associated with gender targets) and overcoming limitations associated with ex-officio membership.

Academic Council applied gender balance target with appointment of new subcommittees in Sept 2015

Academic Council Committee	Chair	Ex Officio Members	Appointed Members	Female members	Male members	% F	% increase in female rep. ¹⁹
ACEC Executive	Male	18	3	10	11	47%	↑ 16%
UPB - Uni. Programmes Board	Male	33	10	19	24	44%	↑ 5%
ACCE (Examinations)	Male	4	9	7	6	54%	↑ 23%
ACCQ Quality	Female	4	17	10	11	47%	↑ 12%
ACCHDMA Hon. Degrees, awards	Male	8	6	4	10	29%	↑ 5%
ACCAC Academic Centres	Female	14	5	7	12	37%	↑ 5%
ACCSAC (Student Appeals, conduct)	Female	0	9	6	3	67%	New
ACCSCC Student Conduct, Capacity	Female	2	7	5	4	44%	New
ACCASP Scholarships, Prizes	Female	1	10	6	5	55%	New

Table 4.12 Membership of Academic Council Committees by gender, indicating % increase in female representation.

¹⁹ Comparison with committee membership 2012-2015. In each case, women were the under-represented group.

The 2015 survey indicated that only 45% of male and 23% of female respondents agreed that women were sufficiently represented on university committees.

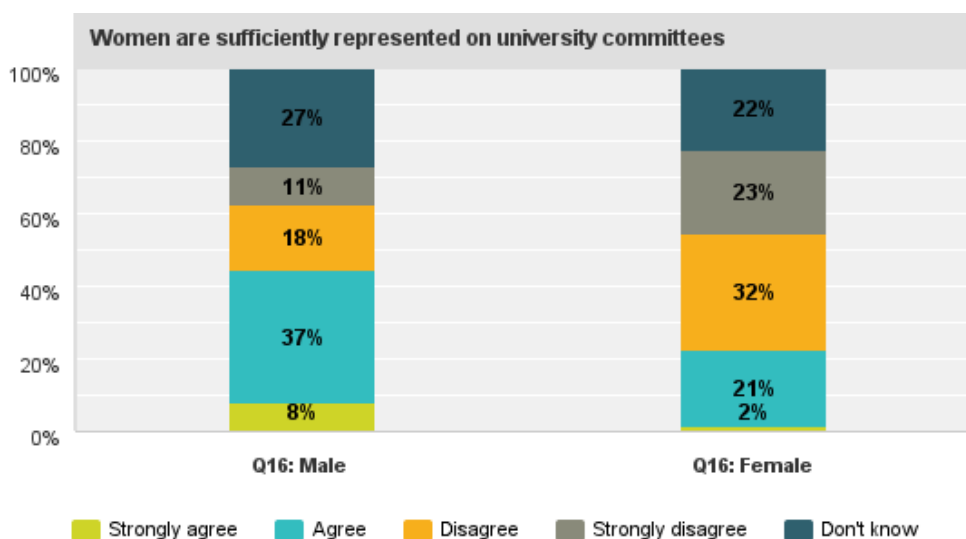


Figure 4.8: Survey response to question of female representation on university committees.

The new Gender Balance Policy (Action 4.20) is designed to address this.

Action:	
4.20	Publish Gender Balance Policy, articulating UCD’s commitment to a minimum gender balance of 40% women and 40% men on all decision making committees (and among their Chairs). Chairs of all committees to report on implementation (comply or explain).

4.4.4 Workload model

UCD has implemented a new academic workload model in respect of all academic staff, with norm 40% teaching: 40% research: 20% contribution. Heads of School can use discretion in making adjustments to workload allocations across categories as appropriate. In practice, gender impacts on the allocation of tasks and it is unclear how task allocation is recorded or monitored.

In the 2015 survey, almost half (49%) of female respondents considered that gender impacts on the assignment of tasks that contribute to career progression, compared with 22% of male respondents. This issue was further explored in the Gender Equality Seminar workshops (Jan 2016).

UCD Gender Seminar workshop question **“how does the allocation of employment tasks impact gender equality in UCD?”** – explored by 6 groups.

Contributing factors identified by participants include the gendered nature of work, unconscious bias and the fact that senior academics (the majority of whom are male) have a greater say in task assignment.

Included in the new workload model is a system of monitoring for gender bias, and consideration of all forms of workload (Action 4.21).

Ongoing review and refinement of the workload model will include a comprehensive overlay with the planned roll out of a new framework to support performance and development conversations across all employees (Performance for Growth).

Action:	
4.21	Monitor workload allocation models for gender bias on an annual basis and ensure transparency. Include both the extent and the nature of the workload. Include consideration of committee overload and outreach activities.

4.4.5 Timing of institution meetings and social gatherings

The College of Science first introduced core meeting hours in 2014. The College of Engineering and Architecture and the College of Law and Social Sciences introduced core meeting hours in April 2015. UMT also changed its meeting time in April 2015.

UCD recognises the benefits of core meeting hours to plan their other responsibilities. A university-wide policy has been drafted and is to be implemented by March 2017.

Core meeting hours introduced in three Colleges to date - College of Science, College of Engineering and Architecture, College of Law and Social Science. Initiative initially driven by UCD Women in the Sciences.

Actions:	
4.22	Introduce university policy on core meeting hours to extend the practice to all colleges.

4.4.6 Visibility of women as role models

In the 2015 survey, 51% of female respondents agreed that female role models are visible compared with 81% of male respondents. Only 17% of female respondents agreed that there is equal presence and participation of women as chairs and/or speakers at UCD compared with 39% of male respondents.

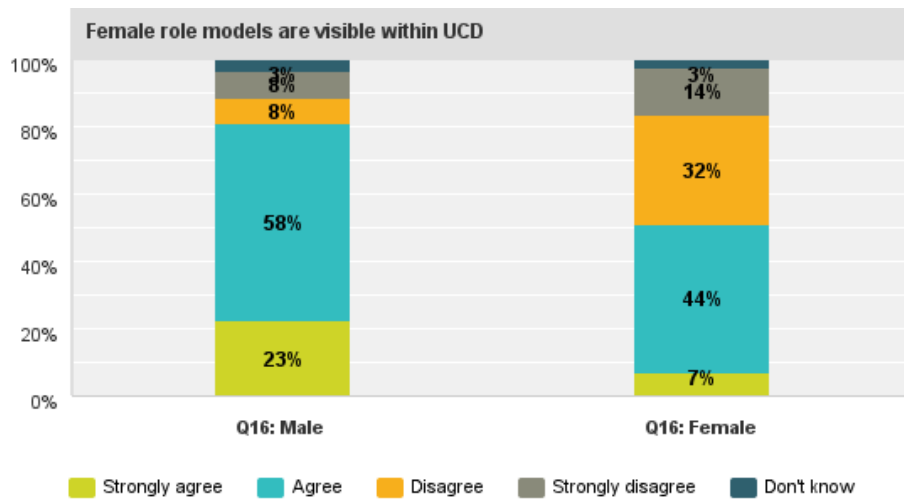


Figure 4.9: Survey responses regarding visibility of female role models within UCD.

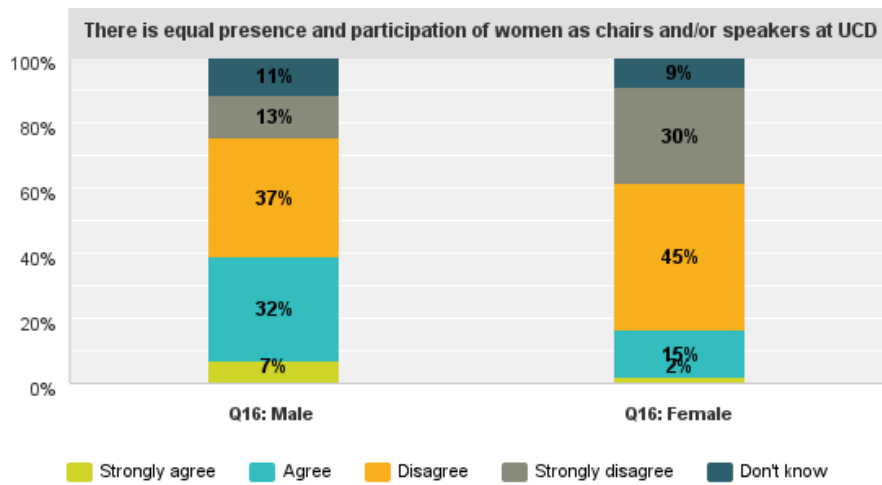


Figure 4.10: Survey responses on equal presence and participation of women as chairs and/or speakers at UCD events, by gender.



Figure 4.11: UCD Festival “Women in Leadership” event, June 2016. The Panel included Prof. Orla Feely, UCD VP for Research, Innovation and Impact (and UCD Athena SWAN Sponsor), Dr. Rhona Mahony, Master National Maternity Hospital (first female Master), Miriam O’Callaghan, Broadcaster, and Senator Alice Mary Higgins.

Other opportunities include the conferring of honorary degrees and Alumni Awards.

Year	Total	Female	Male	% Female
2016	17	5	12	29%
2015	16	7	9	44%

Table 4.13 Conferring of honorary degrees, by gender

Year	Total	Female	Male	% Female
2016	10	4	6	40%
2015	14	7	7	50%

Table 4.14 Alumni Awardees, by gender

UCD University Relations has a policy on gender balance, accessible on the website. However, many events are organised by other groups and are not subject to this policy.

UCD proposes to introduce a system for auditing the composition of panels, such as those at student welcome events, award events, seminars and other activities. (within Action 4.23) University Relations’ policy on gender balance can be more actively promoted and monitored. The new Culture and Engagement Team (within HR), which includes both communications and equality managers, can help facilitate this. This will support the active promotion of gender balance and address gender bias.

UCD women are excellent role models but they have not generally been as visible as men. This is changing, including as a result of targeted gender equality programmes, events and networks over the past two years. These include the Athena SWAN consultation, EDI Group events, Aurora Leadership programme and high-profile panel discussions. However, the aim must be to build on these (Action 4.23, 4.24) and have gender balance across all visible activities. UCD will continue to combine a mainstreaming and targeted approach so that these interventions shift from being perceived as initiatives to part of our daily culture.

Action:	
4.23	Set norms around visibility of women in events, materials, communications, awards. Monitor and seek compliance with appropriate norms.
4.24	Hold annual and flagship events, such as for International Women’s Day, Aurora Leadership Launch

4.4.7 Childcare

There is a privately run crèche on the UCD Belfield campus, serving the whole university community (employees and students). While the quality of care is high, there are capacity issues. Current capacity is at 112 places. Crèche policy gives priority to the provision of childcare places for students. In practice, it is reported that approximately 85% of places are utilised by UCD employees and 15% by UCD students. Waiting time is estimated at six months.

The annual UCD commuter survey shows that approximately half the employees who drive to work need their car for school runs. This was taken into account in new parking arrangements

introduced in September 2015, and the most recent survey revealed that this has had a positive impact.

Action:	
4.25	Continue to monitor childcare provision, in terms of access, priority provision and capacity (engaging with the privately run on-site facility).

4.4.8 Culture

The 2015 survey asked respondents to rate the culture of their work environment. The results were reasonably positive but there were clear gender differences. Forty one percent of female and 17% of male respondents do not consider the environment to be inclusive while 27% of female and 10% of male respondents do not consider it non-discriminatory. Seminar participants (2016) offered insights into how everyday practices could impact positively on gender equality and these are reflected in the GEAP (See Figure 2.4, key messages).

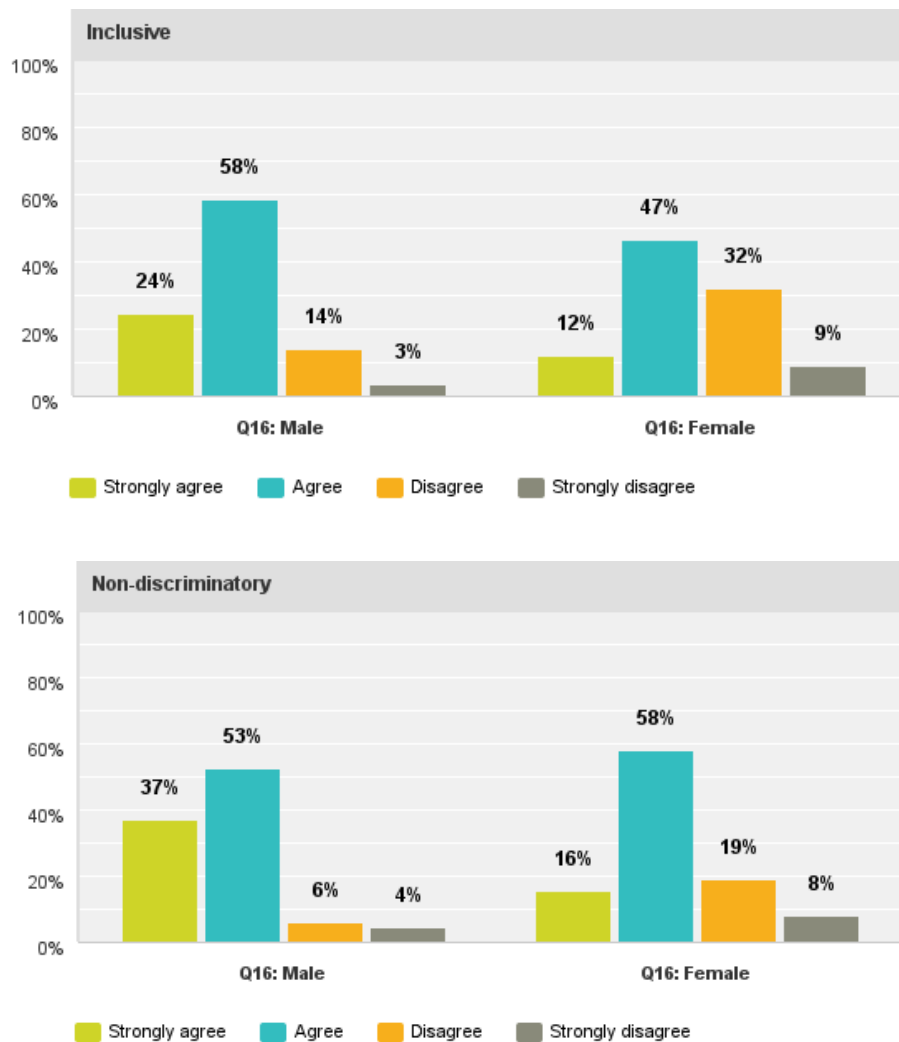


Figure 4.12: Survey responses to question on work environment (by gender), i.e. “please rate the culture of your work environment (school, institute, unit) against the following [8] criteria”, including inclusive, non-discriminatory.

The University knows it must be proactive in this area. A first step was the decision to establish the UMT Equality, Diversity and Inclusion Group (EDIG) in April 2015. The first all-staff survey in ten years, the Culture and Engagement Survey, was

First all-staff Culture and Engagement Survey launched Sept 2016

issued in September 2016 and includes equality questions. The new HR Structure includes a Culture and Engagement Team.

Local networks have done important work. Women in the Sciences (WiTS), is a staff network which has led out on a number of initiatives prior to and during UCD’s engagement with Athena SWAN. WiTS is represented on the SAT. Other staff networks have also played an important role in advancing university culture, including Women in Philosophy and the LGBT Network.

In research, a new strand of the internal seed funding scheme was launched in 2015 to support research in the area of Equality, Diversity and Inclusion, and this will be continued. Training has been provided on integrating the gender dimension into research projects and proposals, and this will be expanded. (Action 4.27) A new research centre for Gender, Feminisms and Sexualities is currently proposed. In UCD 55% of ERC recipients in STEMM and 47% overall are female – these and other similar metrics are monitored and showcased.

A new strand of the internal Seed Funding Scheme on Equality, Diversity and Inclusion was launched in 2015.

Equality is a key value for UCD and intrinsically linked to other values, including excellence, creativity and integrity. It is important to support an open and transparent culture, which welcomes conversation, challenges and collective action (see Figure 2.4, key messages). This involves changes in everyday behaviours and attitudes, as well as in policies and processes.

UCD has adopted a mainstreaming approach to equality, as well as implementing targeted initiatives. This is reflected in the range of stakeholders responsible for equality actions (see GEAP), integrating equality into the quality assurance process (Action 3.2) and developing initiatives to integrate equality into our core business (such as Action 4.27).

Action:	
4.26	Support development of WiTS and other gender equality networks.
4.27	Ensure that the gender dimension is integrated into all relevant research content and provide training and support for faculty and research staff on how to do this.

4.4.9 Outreach activities

Student Recruitment Office data, summarised in Table 4.15, confirm that women are more likely to engage in centrally-managed outreach activities. There is no formal monitoring of locally-organised outreach activity. The new promotions policy and workload model includes consideration of outreach activities.

Outreach Activities	No. Staff involved	Talks programme			Stands/ Coordination			Ambassadors			No. Visitors
		F	M	%F	F	M	%F	F	M	%F	Total
Open Days	500	50	48	51%	67	30	69%	70	46	60%	14,000
Higher Options	242	1	1	50%	105	73	59%	36	26	58%	25,000

Applying to UCD / Mature Student Open Evening	73	4	1	80%	34	19	64%	9	6	60%	300
Summer Schools	78	23	19	55%	15	7	68%	8	6	57%	1,025
School Liaison (Schools visits and Careers Fairs)	13	7	4	64%	1	1	50%				60,000
Campus Tour Programme (Group tours)	63	1	1	50%	1			31	29	52%	8,000
Individual Campus Tours	5	3	2	60%							350
Student Recruitment Network	30	22	8	73%							
Total	1004	111	84	57%	223	130	63%	154	113	58%	108,675

Table 4.15: Gender breakdown of staff involved in centrally organised UCD outreach activity for 2014/2015.



Figure 4.13: Group of school girls visit UCD and watch an experiment in action, with the Minister for Social Protection, Mr. Leo Varadkar, TD and the College Principal, Prof. Joe Carthy.

Gender equality objectives are generally well reflected in outreach activities, with particular attention paid to disciplines where attraction of female students is an issue, such as Computer Science and Engineering. One example is the Science Apprentice series of books (produced by UCD and distributed nationally in October 2016), introducing primary school children to five areas of STEMM, which features equal numbers of female and male scientists and engineers. We will seek to improve this through Action 3.3.

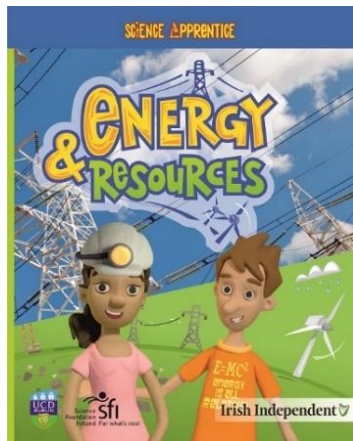


Figure 4.14: UCD Science Apprentice book cover

4.4.10 HR policies

The UCD Equal Opportunities Policy applies to all our HR processes, including recruitment and selection processes, promotions and access to learning and development opportunities.

The 2015 survey assessed awareness of equality related HR policies. Findings reveal gender differences and suggest scope for raising awareness (Figure 4.15). Limited awareness of equality related policies suggests that equality needs to be promoted and understood by employees at the early stages of employment in UCD and particularly by managers. (See Action 4.3 on induction.) Actions in this area will prioritise the extended leadership team, including Heads of School/Units, so that they are equipped to support employees and develop work practices that positively impact on gender equality (Action 4.11).

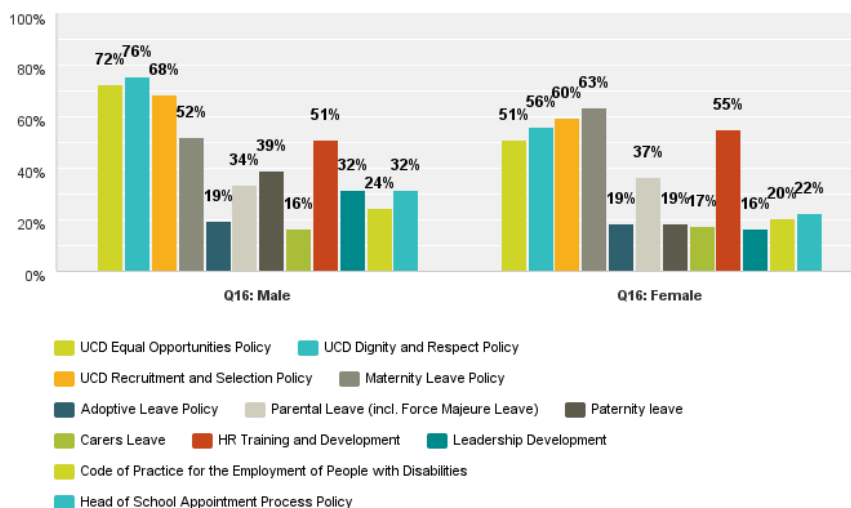


Figure 4.15: Survey responses to question "Are you aware of the following equality related policies?"

The Diversity Monitoring Tool, covering nine equality grounds, was launched in 2012 as a means of monitoring the effectiveness of equality policies. A new university-wide policy development framework has been developed and will aid the evaluation of HR policies.

A review of the Dignity and Respect Policy is underway and a review of the Equal Opportunities Policy is planned. Both will be completed during the current academic year. UCD Support Colleagues initiative is an innovative way of

HR Roadshow brings the new HR Strategy out to the Community. A new approach to engaging the community, it highlights Equality, Diversity and Inclusion as a leading priority.

supporting the Dignity and Respect policy²⁰.

The EDI Group has developed an Equality Impact Assessment Tool to be used in the development of any new university policy. It will be ready to launch by January 2017. This is an important instrument, leading the way on implementing the new positive duty²¹ set out in equality legislation. (Actions 4.14 and 4.15) This tool will be key to ensuring that HR policies actively contribute to gender equality, beyond compliance.

WORD COUNT: 4691

Equality Impact Assessment Tool
approved by UMT November 2016.
Developed by EDI Group, as part of
university Policy Development
Framework.

²⁰ List of support colleagues, available online at <http://www.ucd.ie/t4cms/D&R%20Colleagues%20-%20Bios%20v5.pdf>

²¹ Section 42 of the Irish Human Rights and Equality Commission (IHREC) Act has established a positive duty on public sector bodies to eliminate discrimination, promote equality of opportunity and treatment and protect human rights.

Chapter 5 Any other comments

UCD's HR Strategy, *Growing through People*, sets out our ambition to invest, support, develop and nurture our talent. The substantial reorganisation of the HR structure ensures the deployment of resources to deliver on our aims and will facilitate the championing and mainstreaming of equality, diversity and inclusion across activities and related action planning implementation. An immediate area of prioritised focus includes facilitating schools and units in maximising the value of the annual Culture and Engagement survey and ensuring the implementation of appropriate actions to address EDI themes emerging will be aligned to Athena SWAN action planning activity. In addition, HR Partners (8) support the university's quality review processes and ensure inter alia the provision of data profiling and related assessment /diagnosis of people issues and any EDI themes arising.

UCD welcomes the HEA Gender Equality Report which sets out objectives for HEIs. Table 5.1 below sets out how UCD's actions will meet the HEA objectives and/or reflect the specific recommendations.

[WORD COUNT: 160]

HEA Objective		HEA Recommendation	UCD Action	UCD GEAP No.
1.1	To foster gender balance in the leadership of HEIs	At the final selection steps, in the appointment process for new presidents (or equivalent), in so far as possible, the final pool of candidates will comprise an equal number of women and men. If it has not been possible to achieve gender balance at the final selection step, the interview panel will account to the governing authority or equivalent for why this was not possible.	Search and selection procedures for the next UCD President will be at the time of the competition, and will be subject to EDI vetting according to the new policy. This recommendation will be included in the EDI vetting.	
1.2	To ensure HEI leaders foster a culture of gender equality in their HEIs	In the appointment process for a new president, a requirement of appointment will be demonstrable experience of leadership in advancing gender equality.	As above.	
1.3		In the appointment process for a new vice-president, a requirement of appointment will be demonstrable experience of leadership in advancing gender equality.	Evidence of leadership in / commitment to gender equality will be taken into account in appointments to senior leadership positions, including VPs, College Principals and Heads of School, and in ongoing performance reviews.	4.16

HEA Objective		HEA Recommendation	UCD Action	UCD GEAP No.
1.4	To lead cultural and organisational change in their area of responsibility	The deans and heads of school/department, divisional directors and section/unit managers will be responsible for integrating gender equality in all processes and decisions made.	VPs, CPs, HoS and HoU will be responsible for integrating gender equality in policies and actions within their domains, consistent with university policy, and will report annually on this to their respective managers.	3.1
		Evidence of leadership in advancing gender equality will be taken into account in appointments to these management positions.	Evidence of leadership in / commitment to gender equality will be taken into account in appointments to senior leadership positions, including VPs, College Principals and Heads of School, and in ongoing performance reviews.	4.16
1.5	To achieve gender equality in each HEI	Each HEI will, through a publicly advertised competitive process, appoint a vice-president for equality who will be a full academic member of the executive management team and who will report directly to the president.	Appointment of Vice-President for Equality, Diversity and Inclusion.	2.1
1.6	To ensure gender balance on all key decision-making bodies	Key decision-making bodies (concerned with resource allocation, appointment and promotions) in HEIs will consist of at least 40% women and at least 40% men.	Gender balance target has been set for decision-making bodies, including university committees (4.20) and selection committees (4.8).	4.8 4.20
1.7		At least 40% of the chairs of key decision-making bodies (concerned with resource allocation, appointments and promotions) across the HEI will be of each gender in any given year. It is expected that over a three year period the ratio would be 50:50 women and men chairs.	Publish Gender Balance Policy, articulating UCD's commitment to a minimum gender balance of 40% women and 40% men on all decision making committees (and among their Chairs). Chairs of all committees to report on implementation (comply or explain).	4.8 4.20
1.8	To provide strategic oversight of organisational processes and policies in relation to gender equality	A gender equality sub-committee of the governing authority/body should be established. The minutes of the sub-committee will be published within the HEI.	Vice-President for EDI to report annually to Governing Authority on gender equality in UCD.	2.2

HEA Objective		HEA Recommendation	UCD Action	UCD GEAP No.
1.9	To support the mainstreaming of gender equality across the HEIs	Each HEI will establish an independent, academically-led gender equality forum, chaired by the vice-president for equality and comprising staff members drawn from across the HEI with sufficient influence and motivation to effect change.	EDI Group established, comprising staff members drawn from across the HEI with sufficient influence and motivation to effect change.	In place
1.10	To enhance the provision of support for staff members with caring responsibilities	Each HEI will establish a cross-institutional working group to develop a funded structure of family leave (inclusive of maternity, paternity, parental, adoptive and carer's leave) and develop mandatory guidelines to underpin this.	Social levy introduced to fund family leave (Sept. 2016).	In place
1.11	To increase gender awareness among staff	The HEI will adopt measures aimed at actively developing gender awareness among all staff.	Several actions.	3.1 4.3 4.7 4.11 4.23 4.27
1.12	To embed the gender dimension in teaching and learning and quality review processes	<p>The gender dimension will be fully integrated into undergraduate and postgraduate curricula.</p> <p>Face-to-face, unconscious-bias training will be fully integrated into initial teacher education.</p> <p>At department level, self-assessment (departmental reviews) will include consideration of the gender dimension.</p> <p>HEIs will include consideration of the gender dimension in the institutional quality assurance report.</p>	<p>Curriculum content not within scope for Athena SWAN, but is under separate consideration. All UCD students have the opportunity to take modules from across the university, including modules on equality, under the Horizons programme.</p> <p>Incorporate gender equality dimension into UCD's quality assurance process at school and unit level, and in the institutional quality assurance report.</p>	3.2
1.13	To embed the gender dimension in research content	Ensure that the gender dimension is integrated into all research content and provide training and support for research staff on how to do this.	Ensure that the gender dimension is integrated into all relevant research content and provide training and support for faculty and research staff on how to do this.	4.27

HEA Objective		HEA Recommendation	UCD Action	UCD GEAP No.
1.14	To ensure transparent distribution of work	<p>Ensure HEI workload allocation models are transparent and monitored for gender bias on an annual basis.</p> <p>Evidence of this will be taken into account in the performance development reviews of managers/supervisors, responsible for setting staff workloads.</p>	Monitor workload allocation models for gender bias on an annual basis and ensure transparency. Include both the extent and the nature of the workload. Include consideration of committee overload and outreach activities.	4.21
1.15	To enable gender-disaggregated data-driven decision-making	A comprehensive gender-disaggregated data collection system will be in place in every HEI.	Change information systems to facilitate access to gender disaggregated data across the university, at the institutional and school level.	2.6
1.16	To gender-proof recruitment, selection and promotion procedures and practices	The recruitment, selection and promotion procedures currently used will be reviewed to ensure that they are gender-sensitive.	Complete full review of recruitment and selection procedures to ensure that they are gender-sensitive (inc. promoting gender balance and preventing gender bias). Put plan in place to implement recommendations.	4.1
1.17	To drive change through the use of positive action interventions for academic staff	Each HEI will introduce mandatory quotas for academic promotion, based on the flexible cascade model where the proportion of women and men to be promoted/recruited is based on the proportion of each gender in the grade immediately below.	Introduce gender equality targets in promotion, with the measure being at least in proportion to the number of women at the grade below (cascade model). Monitor on an annual basis and take action as required.	4.5
1.18	To drive change at professor level through the use of positive action interventions	A minimum of 40% women and 40% men to be full professors, at the appropriate pay scale.	UCD seeks to increase the number of women full professors through a broad range of actions in the GEAP. UCD has not adopted quotas. We are introducing gender equality targets in promotion, and also taking action on recruitment.	4.1 4.5

HEA Objective		HEA Recommendation	UCD Action	UCD GEAP No.
1.19	To drive change through the use of positive action interventions for non-academic staff	In the final selection step in the appointment process for non-academic positions where the salary-scale reaches or exceeds €76,000, in so far as possible, the final pool of candidates must comprise an equal number of women and men. If it has not been possible to achieve gender balance at the final selection step, the interview panel must account to the Governing Authority or equivalent for why this was not possible.	Non-academic staff are not part of the Athena SWAN GEAP, but many of the actions in the plan will benefit this cohort.	
1.20	Combat stereotyping of 'female' and 'male' roles and horizontal segregation among non-academic staff	Over time, achieve greater gender balance at all career levels (pay grades) within the institution.	Non-academic staff are not part of the Athena SWAN GEAP, but many of the actions in the plan will benefit this cohort.	
1.21	To ensure a roadmap for attainment of gender equality is developed in each institution	Each HEI will develop and implement a gender action plan (including goals, actions and targets), which will be integrated into the institution's strategic plan and into the HEI's compacts with the HEA.	UCD has developed a Gender Equality Action Plan (GEAP) as part of the Athena SWAN process.	
1.22	To support and recognise the embedding of gender equality across all aspects of the work of HEIs	HEIs will apply for and achieve an Athena SWAN institutional award within three years TUs will apply for and achieve an Athena SWAN institutional award within three years of being formally established.	UCD applies for Athena SWAN in November 2016. GEAP includes targets for departmental applications.	2.4

Figure 5.1 HEA Gender Equality Objectives and UCD Actions

6 Action plan

Vision

Members of the UCD community, of all genders, report that UCD is a great place to work and study.

Objectives

The UCD Athena SWAN Gender Equality Action Plan seeks to:

- Contribute to equality objectives set out in UCD Strategic Plan 2015-2020, through:
 - Attracting and retaining an excellent and diverse cohort of students, faculty and staff
 - Promoting gender balance and equality of opportunity
 - Engaging in leading edge national and international diversity programmes
- Support the university to achieve the Athena SWAN Bronze Institutional Award and be in a strong position to attain the Athena SWAN Silver Award in 2019.

Approach

UCD recognises that the excellence and impact we seek to deliver are enhanced through gender equality. Change is needed to meet our gender equality objectives; this includes changes in everyday behaviours and attitudes as well as in policies and procedures.

GENDER EQUALITY ACTION PLAN

No.	Action	Further details	Responsibility	Start date	End date	Success measure
2. The Self-Assessment Process						
2.1	Appointment of Vice-President for Equality , Diversity and Inclusion	VP will chair the EDI Group	President GA	Feb 2017	Immediate	VP appointed
2.2	Vice-President for EDI to report annually to Governing Authority (GA) and UMT on gender equality in UCD	The action supports good governance and accountability on gender equality	Vice-President for EDI	Dec 2017	Annual	Annual Monitoring Report to Governing Authority and UMT
2.3	Expand SAT membership	Current membership, drawn from 4 colleges and support units, designed to support achievement of Bronze Award based on focus of Athena SWAN in Ireland on STEMM Expanded membership, inc. student representation, will support broader focus	VPRII, as Chair of SAT	Following Bronze Award	Immediate	Membership includes all colleges and student representation
2.4	Support nine schools to apply for Departmental Athena SWAN Award in the next three years (and all STEMM schools by 2021)	4 schools have expressed an interest or are working on applications	HoS	April 2017	Dec 2019	At least 2 schools apply in 2017, 3 in 2018 and 4 in 2019 All STEMM schools apply by 2021

No.	Action	Further details	Responsibility	Start date	End date	Success measure
2.5	Establish and implement communication and engagement strategy, including annual surveys to drive embedded cultural change	<p>Initial survey was implemented as part of Athena SWAN process</p> <p>In the Athena SWAN gender equality survey 68% of respondents considered UCD's culture to be inclusive</p> <p>New Culture and Engagement survey launched Oct 2016, including questions on equality.</p>	SAT HR	Jan 2017	Annual	<p>Culture and Engagement survey results reported to Governing Authority and UMT</p> <p>Total favourable score on gender in the Culture and Engagement survey will be: <i>Baseline: 68%</i> 2017: 72% 2018: 76% 2019: 80%</p> <p>Survey shows high level of awareness of UCD Gender Equality Action Plan, reaching 85% by 2019</p>
2.6	Change information systems to facilitate access to gender disaggregated data across the university, at the institutional and school level	<p>Draft project scope developed in December 2016. This will be amended based on experience using data for Institutional application.</p> <p>Data will be available to schools to support Athena SWAN Award applications, via InfoHub</p>	SAT MSU HR University Secretariat	Dec 2016	Sept 2017	<p>Project scope agreed – Dec 2016</p> <p>Project initiated – March 2017</p> <p>Data available to schools – partially by March 2017</p> <p>System reviewed – May 2017</p> <p>All data (as appropriate) available to HoS by Sept. 2017</p>

No.	Action	Further details	Responsibility	Start date	End date	Success measure
3 Picture of the Institution						
3.1	VPs, CPs, HoS and HoU will be responsible for integrating gender equality in policies and actions within their domains, consistent with university policy, and will report annually on this to their respective managers	Athena SWAN / Equality, Diversity and Inclusion to be a recurring item at College Executive meetings and Unit Management meetings Policy Management Framework and equality impact assessment tool to support this implemented Nov 2016	VPs CPs HoS HoU	Jan 2017	Ongoing	All HoS and HoU receive unconscious bias training VPs, CPs, HoS and HoU report annually to their line manager on achievements in gender equality Annual Monitoring Report to Governing Authority and UMT reports on the application of the Equality Impact Assessment tool, in the implementation of the Policy Management Framework
3.2	Incorporate gender equality dimension into UCD's quality assurance process at school and unit level, and in the institutional quality assurance report	Quality assurance process includes internal self-assessment and reports of review panels, which include external members. The latter are considered by UMT and GA, and are published on UCD QO website	QO	Mar 2017	Ongoing	All quality self-assessment reports, quality review reports and institutional quality assurance report incorporate gender equality dimension
3.3	Expand initiatives in ICT and Engineering to improve intake of female students and support their retention	The UCD Engineering Graduates Association has delivered high-profile work on Women in Engineering The % females in UCD Engineering and in Computer Science averages <20% over a ten year period	CPs HoS	Jan 2017	Ongoing	Intake and retention of female students in ICT and Engineering by 2019 will grow to: Intake: $\geq 25\%$ (<i>baseline: <20%</i>) Retention: $\geq 95\%$ Performance over the intervening years will indicate that that these numbers are sustainable. Focus groups held with undergraduate and postgraduate students in ICT and Engineering, with data analysed and reported

No.	Action	Further details	Responsibility	Start date	End date	Success measure
3.4	Introduce exit interviews for faculty	We do not currently have data on why more lecturers are leaving than higher grades or why a number of women who return after maternity leave subsequently leave	HR	Jan 2018	Embed	Annual Monitoring Report to Governing Authority and UMT includes data on gender-related reasons for leaving UCD. Action taken where necessary
3.5	Investigate gender dimension in permanency/fixed-term contracts	Fixed-term contracts have become more prevalent in Irish academia since the economic crisis, but the gender dimension has not received significant exposure Early discussions indicate that this may also be a problem in other Irish universities, and that the sectoral level is the appropriate level at which to deliver progress UCD will advocate via the Irish Universities Association that a sectoral investigation take place in relation to the gender dimension in permanent / fixed term contracts	UMT HR	In progress	July 2017	Sectoral investigation launched and reported upon, providing baseline data and identifying targets Annual Monitoring Report to Governing Authority and UMT includes gender-disaggregated data on contract type
3.6	Annual review of gender dimension in pay to ensure equality. Apply remedy where needed	Table 3.14 of the Athena SWAN application shows average pay by gender and grade. The size and direction of the gap varies year on year. Averaged over the three years, the gap ranges from 0% for full professors to 2.7% for professors.	HRIS/GPM	Sept. 2017	Jan 2018	Annual Monitoring Report to Governing Authority and UMT includes data on gender dimension in pay If the data (over three years) reveals a gap of $\geq 3\%$ at any level, the situation will be investigated further, with corrective action taken if gender is found to be the reason for the gap

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4 Supporting and Advancing Women's Careers						
4.1 Transition Points						
4.1	Complete full review of recruitment and selection procedures to ensure that they are gender-sensitive (inc. promoting gender balance and preventing gender bias). Put plan in place to implement recommendations	<p>Detailed review near completion with specific recommendations arising. Indicative actions include:</p> <p>Extension of Equality Impact Assessment Tool to proof all relevant documentation e.g. job descriptions, advertisements Reference Equal Opportunities in all job descriptions and include a specific statement on welcoming applications from women (and other under-represented groups)</p> <p>Mandatory gender question in eRecruit, building on Diversity Monitoring Tool (inc. all genders and 'prefer not to say' option), to assist in reporting.</p> <p>Baseline data for academic positions is as follows: total applications: 36% female; shortlists: 47% female; appointments: 48% female</p>	HR	In progress	March 2017	<p>Female percentages at each stage of the academic recruitment process are as follows:</p> <p>Applications: <i>Baseline: 36%</i> 2017: 39% 2018: 42% 2019: 45%</p> <p>Shortlists: <i>Baseline: 47%</i> 2017: 48% 2018: 49% 2019: 50%</p> <p>Appointments: <i>Baseline: 48%</i> 2017: 49% 2018: 50% 2019: 50%</p> <p>Annual Monitoring Report to Governing Authority and UMT includes data on each stage of the recruitment process</p>

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4.2	Require all faculty search committees to take steps to identify and encourage suitable female applicants in areas where they are under-represented, and to report on this.	Attraction is an issue in some disciplines and at more senior levels. The measure seeks to 1) proactively address the gender gap and 2) militate against unconscious bias	CPs HoS HR	April 2017	Sept 2017 /Embed	Search champions in ICT and Engineering areas established Increase in percentage of applications from women for academic positions to at least 45% by 2019 (from a baseline of 36%)
4.3	Require schools to provide, actively and consistently, local induction to new staff, including coverage of gender equality, diversity and inclusion. Provide support for this.	Local induction is a key support for all our people. It is also an opportunity to raise awareness of gender equality responsibilities and supports, in the context of local practice/policy implementation	HoS HR	June 2017	Embed	All schools provide consistent local induction with coverage of gender (See also success measures under action 2.5)

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4.4	Integrate gender equality in the Performance for Growth process (in development, implementation and review) to ensure it supports gender equality in all aspects of its operation	<p>This will include:</p> <p>Equality proofing competencies and other criteria</p> <p>Including equality and diversity management as a core competency</p> <p>Identifying and preparing female candidates for promotion</p>	<p>HR</p> <p>CPs</p> <p>HoS</p>	In progress	Sept. 2017 <i>(development phase)</i>	<p>Increase in percentage of female applicants for promotion (at least in proportion to the number of women at the grade below)</p> <p>Targets are set using the cascade model. Targets for 2017 for applications from female candidates are:</p> <p>≥50.8% for promotion from Lecturer/Assistant Prof. to Associate Prof.</p> <p>≥36.2% from Associate Prof. to Prof.</p> <p>≥32.2% from Prof. to Full Prof.</p> <p>Targets reset annually based on the cascade model (as expectation is that the introduction of targets will have impacted positively on percentages at each level)</p>

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4.5	Introduce gender equality targets in promotion, with the measure being at least in proportion to the number of women at the grade below (cascade model). Monitor on an annual basis, and take action as required	This is a key action to help meet UCD's goal to close the gender gap that appears just beyond the lecturer level	FPC	March 2017	June 2017/ Embed	<p>Increase in percentage of women promoted</p> <p>Targets are set using the cascade model. Targets for 2017 for promotion of female candidates are:</p> <ul style="list-style-type: none"> • ≥50.8% promoted from Lecturer/Assistant Prof. to Associate Prof. • ≥36.2% promoted from Associate Prof. to Prof. • ≥32.2% promoted from Prof. to Full Prof. <p>Targets reset annually, based on the cascade model (as expectation is that the introduction of targets will have impacted positively on percentages at each level)</p>
4.6	Conduct rigorous review of new promotions policy after one year, with equality impact assessment to the fore. Amend as necessary	Closing the gender gap is a priority. Recent changes to the promotions policy should positively impact on gender equality. As a priority objective, UCD is committed to monitoring the effectiveness of the policy, identifying issues early on and building on progress	UMT	May 2017	July 2017 – review completed Nov 2017 – actions taken	Recommendations implemented (will be informed by review outcomes)
4.7	Mandatory unconscious bias training for all selection committee members. Delivery supported by new unconscious bias training panel	Training for trainers commenced April 2015, training commenced June 2015. Unconscious bias training has been delivered to UMT, EDIG and FPC 14 internal trainers trained; 12 UB sessions; 112 participants	HR Hiring Managers	July 2016 In progress	Embed Dec 2017	<p>All selection committee members trained</p> <p>At least 10 trainers trained and actively supporting ongoing awareness</p>

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4.8	Selection committee membership, and their Chairs, will consist of at least 40% women and at least 40% men (comply or explain)	Selection criteria for membership will be reviewed to identify where opportunities could open up a new pool of female candidates	CP HoS HR	Jan 2017-communicate policy	June 2017- ready to implement /Embed	Annual Monitoring Report to Governing Authority and UMT includes data on committee membership and progress on gender balance target 40% target reached by Sep 2018 Members surveyed to avoid potential committee overload
4.2 Career development						
4.9	Develop targeted initiative with researchers (survey/workshop) to gather more reliable and focused data on issues and actions, including those relating to gender	The Research Staff Association has been represented on the SAT since September 2015 UCD seeks to enhance direct postdoc engagement via targeted initiatives Data from the Athena SWAN gender equality survey shows that 70% of postdoctoral respondents considered UCD's culture to be inclusive	HR Researcher Careers Subcommittee of RIIG	April 2017	April 2017	Availability of more qualitative data on equality issues and actions Total favourable score for equality, diversity and inclusion among researchers in Culture & Engagement survey increasing as follows: <i>Baseline: 70%</i> 2017: 73% 2018: 76% 2019: 79%
4.10	Continue work at a national level to support researcher careers, highlighting need to address impact of gender on progression opportunities	UCD has played a leadership role in progressing researcher careers. Given our role, we seek to influence future national developments to ensure conditions support gender equality	VPRII	In progress	Ongoing	UCD providing clear input to national discussions, informed by internal consultation
4.11	Integrate gender equality awareness into leadership development training and induction processes for all leaders	Equality objectives and supports to be highlighted in induction for these roles	UMT HR	In progress	Ongoing	All new entrants to these roles receive induction incorporating equality objectives and supports

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4.12	Provide leadership development programmes for women, including senior women. Monitor and encourage uptake	Three groups have participated in the Aurora Programme (57 participants) since Jan 2015. Current cycle will be subject to full review in Dec 2017 Colleges also engage with Women's Executive Network and 30% Club (HR Director is Council Member)	UMT HR	In progress Dec 2017 – review Dec 2018 - (<i>assess impact</i>)	Embed	Leadership Development Programme participation as follows: <ul style="list-style-type: none"> • ≥40% of participants on leadership programmes for existing leaders are female • ≥60% of participants on leadership programmes for potential/emerging leaders are female Review and evaluation of leadership programmes carried out
4.3 Flexible working and managing career breaks						
4.13	Review impact of social levy and provision of cover during maternity leave. Explore the expansion of social levy funding to cover re-entry period following maternity leave	The Social levy was introduced in Sept. 2016. This is expected to address issues associated with maternity leave, including 1) inconsistencies in cover and 2) any potential deterrent to delivering best practice due to costs	UMT Bursar HoS	Sept 2017	Embed	Costs do not impact on maternity leave cover. Any disincentive to cover maternity leave neutralised. Cover is provided for all academics on family related leave
4.4 Organisation and Culture						
4.14	All new policies to be assessed before introduction to ensure they will contribute to equality	The university has implemented a policy management framework and equality impact assessment tool to ensure compliance, as of Nov 2016	University Secretariat HR Policy owners	Jan 2017	Embed	Gender Equality is mainstreamed in all policy development

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4.15	Develop plan for the rolling review of existing policies to ensure they contribute to equality	Equality Impact Assessment Tool has been developed by EDI Group	University Secretariat HR	Sept 2017	Embed	All policies assessed and amended when necessary, via rolling review over three-year window Tool developed and implemented UCD in strong position to meet new 'Positive Duty' objectives
4.16	Evidence of leadership in / commitment to gender equality will be taken into account in appointments to senior leadership positions, including VPs, College Principals and Heads of School, and in ongoing performance reviews	Leadership is critical to creating an inclusive culture. Our plan to close the gender gap relies on leaders to integrate gender equality into policy/practice and support all our people to develop	President Registrar UMT	Dec 2016	Embed	Leaders and managers actively promote gender equality, and can report concrete examples
4.17	Review and equality-proof Heads of School policy	The review will identify opportunities to overcome barriers for women (see 4.18). The policy will need to be amended also to reflect new gender equality criteria for HoS (see 4.15)	UMT HR	April 2017	June 2017	New Heads of School gender equality criteria included Recommendations to be progressed
4.18	College Principals will take steps to achieve gender balance in the appointment of Heads of School, and will report to UMT on this	Timescale for progress limited by five-year term of office of existing HoS	CPs UMT	Sept 2017-1st report	Embed (annual report)	Increase in the number of female appointees to Head of School roles, reaching $\geq 40\%$ of new appointments by 2019
4.19	Women faculty in STEM disciplines will be surveyed to identify obstacles to their applying for Headship of School	Leadership development opportunities will also be promoted. Informs review of HoS policy (4.17)	SAT CPs	April 2017	June 2017	Obstacles identified and addressed
4.20	Publish Gender Balance Policy, articulating UCD's commitment to a minimum gender balance of 40% women and 40% men on all decision making committees (and among their Chairs). Chairs of all committees to report on implementation (comply or explain)	UCD has a gender balance target of 40%, applied already to AC committees. This is to be broadened out to apply to all university committees (and selection committees)	SAT/EDI Group University Secretariat HR	Jan 2017	Embed	90% of committees compliant by 2018. Others reported to UMT and GA, with explanation

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4.21	Monitor workload allocation models for gender bias on an annual basis and ensure transparency. Include both the extent and the nature of the workload. Include consideration of committee overload and outreach activities	Evidence of fairness and transparency will be taken into account in performance development reviews of those responsible for setting staff workloads	Registrar HoS	Sept 2017- commence initiative	June 2018- supports in place Embed	Culture and Engagement survey will establish baseline satisfaction in 2017 Target: 3% positive increase per annum
4.22	Introduce university policy on core meeting hours to extend practice to all colleges	Policy will be communicated directly to all staff. Current practice in 3 of 6 UCD colleges (and 2 of 3 STEMM colleges)	UMT HR	Jan 2017	March 2017 (effective) Embed	Culture and Engagement survey will establish baseline satisfaction in 2017. Target: 3% positive increase per annum
4.23	Set norms around visibility of women in events, materials, communications, awards. Monitor and seek compliance with norms	Promote gender balance in internal and external communications Increase awareness of UCDs gender equality objectives and how visibility of women impacts on this	University Relations SAT	June 2017	Sept 2017 Embed	Policy document and guidelines developed Increased visibility of women in internal and external communications and awards Target: 50% key university awards awarded to women
4.24	Hold annual and flagship events, such as for International Women's Day, Aurora Leadership Launch	UCD's experience shows that these events raise awareness of gender equality, increase visibility of women and provide the forum for men to engage in the discussion/actions	EDI Group CPs	March 2016	Embed / annual	2 pan-university events per annum Lunchtime seminar series (4 events per annum)
4.25	Continue to monitor childcare provision, in terms of access, priority provision and capacity (engaging with the privately run on-site facility)	On site provision in UCD is a key support	Bursar		Ongoing	Access to excellent childcare for staff
4.26	Support development of WiTS and other gender equality networks	Three staff networks have helped drive gender equality initiatives, inc. Women in the Sciences (WiTS), Women in Philosophy and the LGBTI Network	CPs	March 2017	March 2018 – <i>review progress</i>	Network chairs report satisfaction with support from the university Other success measures as in 4.24

No.	Action	Further details	Responsibility	Start date	End date	Success measure
4.27	Ensure that the gender dimension is integrated into all relevant research content and provide training and support for faculty and research staff on how to do this	As EU frameworks show, three elements need to be considered to achieve gender equality, i.e. equality in decision-making, equality in employment and sex and gender analysis in research content	Research, PIs, research community	March 2017	Embed	By 2019, at least 80% of those applying for research grants have accessed training and support on the gender dimension in research Continuing seed funding for research into Equality, Diversity and Inclusion, with impact case studies

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Glossary

Abbreviations:

AC	Academic Council
ACCAA	Academic Council Committee on Assessment Appeals
ACCAC	Academic Council Committee on Academic Centres
ACCASP	Academic Council Committee for Academic Scholarship and Prizes
ACCD	Academic Council Committee on Discipline
ACCE	Academic Council Committee on Examinations
ACCHDMA	Academic Council Committee on Honorary Degrees and Major Awards
ACCQ	Academic Council Committee on Quality
ACEC	Academic Council Executive Committee
AHSS	Arts, Humanities and Social Sciences
CP	College Principal
EDI	Equality, Diversity and Inclusion
EDIG	Equality, Diversity and Inclusion Group (UMT Group)
EG	Education Group
EGA	Engineering Graduates Association
FPC	Faculty Promotions Committee
FRAMC	University Finance, Remuneration and Asset Management Committee
FTE	Full-Time Equivalent
GA	Governing Authority
GEAP	Gender Equality Action Plan
GPM	Gender Project Manager
HEA	Higher Education Authority
HEI	Higher Education Institute
HoS	Head of School
HoU	Head of Unit
HR	Human Resources
HRIS	HR Information Systems
HRS4R	Human Resources Strategy for Research
ICT	Information and Communication Technologies
IUA	Irish Universities Association
IUS	Irish University Sector
LGBTI	Lesbian, Gay, Bisexual, Transgender and Intersex
MSU	Management Support Unit
P4G	Performance for Growth
PD	Postdoctoral Researcher
PG	Postgraduate
PHPS Sci	Public Health, Physiotherapy and Sports Science
PI	Principal Investigator
QA	Quality Assurance
QO	Quality Office
RIIG	Research, Innovation and Impact Group
SAT	Self-Assessment Team
SG	Steering Group
STEMM	Science, Technology, Engineering, Mathematics, Medicine
UMT	University Management Team
UPB	University Programmes Board
VP	Vice President
VPRII	Vice President for Research, Impact and Innovation
WG	Working Group
WiTS	UCD Women in the Sciences